



Regular Meeting
of the
Board of Trustees

June 20, 2024

**Regular Meeting of the
BOARD OF TRUSTEES OF NORTHERN ILLINOIS UNIVERSITY
9:00 a.m. – Thursday – June 20, 2024
Board of Trustees Room
Altgeld 315**

AGENDA

1. Call to Order and Roll Call
2. Verification of Quorum and Appropriate Notification of Public Meeting
3. Meeting Agenda Approval..... *Action*..... i
4. Review and Approval of Minutes from March 21, 2024, May 9, 2024, and May 28, 2024
..... *Action*.....1
5. Chair's Comments/Announcements
6. Public Comment*
7. Reports of Board Committees and Board Liaisons..... *Information*.....11
 - a. Academic Affairs, Student Affairs and Personnel Committee (AASAP)
 - b. Finance, Audit, Compliance, Facilities and Operations Committee (FACFO)
 - c. Research and Innovation, Legal and Legislative Affairs Committee (RILLA)
 - d. Illinois Board of Higher Education
 - e. Universities Civil Service Merit Board
 - f. Northern Illinois Research Foundation
 - g. Northern Illinois University Foundation and Alumni Association
8. President's Report No. 178
 - a. University Recommendations Forwarded by the Board Committees
 - 1) Fiscal Year 2025 NIU Foundation Professional Services Contract.... *Action*.....18
 - 2) Intercollegiate Athletics Air Charter Services for Football and Men's and
Women's Basketball Teams *Action*.....19
 - 3) Media Buying Services *Action*.....20
 - 4) NIU Rockford Boiler Replacements..... *Action*.....22
 - 5) Stevenson Residential Complex Delivery Dock Area Repairs..... *Action*.....23
 - 6) Yordon Center Building Addition *Action*.....24
 - 7) US Geological Survey Research Partnership Renovation Project Budget Increase
..... *Action*.....25
 - b. University Reports Forwarded by the Board Committees
 - 1) University Goal Enrollment Updates..... *Information*.....26
 - 2) Supporting AI Use for Teaching and Learning..... *Information*.....27

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c. Items Directly from the President

1) Fiscal Year 2025 Non-Represented Staff Salary Increment Allocation Guidelines.....	Action.....	65
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7) Proposed Amendments to the Board of Trustees Regulations Regarding Faculty and Administrative Employees, Financial Matters, and Physical Facilities.....	Action.....	76
8) Proposed Amendments to Board Regulations Regarding Commitment to Ethical Conduct and Whistleblower Protection, as well as Revocation of Outdated NIU Whistleblower Policy	Action.....	85
9) Proposed Amendments to the University Facility Naming Policy.....	Action.....	97
10) College of Health and Human Sciences Overview.....	Information.....	102

9. Chair’s Report No. 109

a. Fiscal Year 2025 Election of Board Officers and Civil Services Merit Board Representative.....	Action.....	103
b. Resolution Honoring Trustee Tim Moore for Distinguished Service to the Northern Illinois University Board of Trustees	Action.....	104

10. Other Matters

11. Next Meeting Date

12. Closed Session

13. Adjournment

*Individuals wishing to make an appearance before the Board should consult the [*Bylaws of the Board of Trustees of Northern Illinois University*](#), Article II, Section 4 – *Appearances before the Board*. Appearance request forms can be completed [online](#) in advance of the meeting or will be available in the Board Room the day of the meeting. For more information contact Crystal Doyle, ccoppel@niu.edu, Altgeld Hall 300, DeKalb, IL 60115, 815-753-1273.

Anyone needing special accommodations to participate in the NIU Board of Trustees meetings should contact Crystal Doyle, ccoppel@niu.edu or (815) 753-1273, as soon as possible.

Minutes of the
Board of Trustees of Northern Illinois University
March 21, 2024

CALL TO ORDER AND ROLL CALL

The meeting was called to order at 8:31 a.m. by Board Chair Montel Gayles in the Board of Trustees Room, 315 Altgeld Hall.

Recording Secretary Liz Wright conducted a roll call.

Trustee Rita Athas: Present

Trustee Dennis Barsema: Present

Vice Chair John Butler: Present

Trustee Veronica Herrero: Absent

Trustee Tim Moore: Absent

Trustee Leland Strom: Present

Trustee Eric Wasowicz: Present

Board Chair Montel Gayles: Present

Also present: President Lisa Freeman; Vice President and General Counsel and Board Parliamentarian Bryan Perry; Interim Executive Vice President and Provost Laurie Elish-Piper; Vice President for Administration and Finance and Chief Financial Officer George Middlemist (virtual); Senior Associate Vice President and Chief Human Resource Office John Acardo; Vice President for Student Affairs Clint-Michael Reneau, Vice President for Outreach, Engagement and Regional Development Rena Cotsones; Vice President for Diversity, Equity and Inclusion Carol Sumner; Associate Vice President for Facilities Management and Campus Services; College of Engineering Dean Dave Grewell; and University Advisory Council (UAC) Representatives Benjamin Creed and Natasha Johnson.

MEETING AGENDA APPROVAL

Chair Gayles asked for a motion to approve the meeting agenda. Trustee Wasowicz so moved, and Trustee Strom seconded. The motion was approved.

Chair Gayles asked for a motion to amend that motion, in order to approve a consent agenda for action items 8.a.1. through 8.a.8. Trustee Strom so moved, and Trustee Wasowicz seconded. The motion was approved.

REVIEW AND APPROVAL OF MINUTES OF FEBRUARY 15, 2024

Chair Gayles asked for a motion to approve the minutes of February 15, 2024. Trustee Athas so moved, and Trustee Wasowicz seconded. Trustee Strom noted he was present for the meeting and requested the minutes be amended to reflect that. With the amendment, the motion was approved.

Trustees Herrero and Moore joined the meeting at 8:33 a.m.

CHAIR'S COMMENTS/ANNOUNCEMENTS

Chair Gayles welcomed the members of the University Advisory Council who were present.

Professor Creed thanked the Board for their continued support of faculty sabbaticals, noting the importance of advancing student and faculty success. Further, he thanked the members of the Executive Vice President and Provost search committee and noted his congratulations to the selected candidate, Dr. Laurie Elish-Piper.

Chair Gayles noted that recently Professor Courtney Hughes was named the 2024 Mid-American Conference Outstanding Faculty Award for Student Success award winner and asked colleagues on campus to congratulate her. Chair Gayles also mentioned that today is Huskies United Day of Giving. Together we have raised millions for scholarships, academic programs, campus initiatives

and so much more. Any amount of money donated will make a difference. Chair Gayles shared his excitement in having the Illinois Supreme Court on campus to today to hear oral arguments.

PUBLIC COMMENT

Chair Gayles introduced the public comment portion of the meeting. General Counsel Perry stated that several requests for public comment were received. The following individuals provided public comment Aaron Sebourn, Sue Phelps, Patrick Sheridan, and Jason Williams.

REPORTS OF THE BOARD COMMITTEES AND BOARD LIAISONS

Chair Gayles reminded everyone that the reports of the Board Committees and the Board Liaisons are information only.

Vice Chair Butler gave a verbal report for item 7.e.

Catherine Squires, Vice President for Advancement and President and CEO of the NIU Foundations provided a verbal report on agenda item 7.g.

PRESIDENT'S REPORT NO. 175

Chair Gayles asked President Freeman to present the President's Report No. 175.

President Freeman presented the items that were moved to the consent agenda including:

Agenda Item 8.a.1. – Request for the Deletion of the Master of Science in Education in Educational Psychology

Agenda Item 8.a.2. – Request for the Deletion of the Minor in Social Change Leadership

Agenda Item 8.a.3. – Request for New Minor in Health Administration

Agenda Item 8.a.4. – Recommendations for Faculty and Supportive Professional Staff Sabbatical Leaves for the 2024-2025 Academic Year

Agenda Item 8.a.5. – Fiscal Year 2025 Campus Services Consumable Commodities and Charter Bus Services

Agenda Item 8.a.6. –Out-Lease with Illinois Association of School Business Officials (NIU as Lessor)

Agenda Item 8.a.7. –Division of Information Technology Renewal of OnBase Software Maintenance

Agenda Item 8.a.8. – Center for Early Learning Funding Equity

Chair Gayles asked for a motion to approve the consent agenda items. Trustee Strom so moved, and Trustee Wasowicz seconded. The motion was approved.

Agenda Item 8.a.9. College of Law Graduation Fee

President Freeman presented agenda item 8.a.9. for approval.

Chair Gayles noted that he would be abstaining from voting on this agenda item.

Chair Gayles asked for a motion to approve item 8.a.9. Trustee Herrero so moved, and Trustee Barsema seconded.

Chair Gayles asked Ms. Wright to conduct a roll call vote.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Abstain

The motion was approved.

Agenda Item 8.a.10. Fiscal Year 2025 Master Agreement Facility Contracts Renewals

President Freeman presented agenda item 8.a.10. for approval.

Chair Gayles stated that Vice Chair Butler will need to abstain from voting on this agenda item.

Chair Gayles asked for a motion to approve item 8.a.10. Trustee Wasowicz so moved, and Trustee Moore seconded.

Chair Gayles asked Ms. Wright to conduct a roll call vote.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Abstain

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Yes

The motion was approved.

UNIVERSITY REPORTS FORWARDED BY THE BOARD COMMITTEES

President Freeman asked whether any Trustees wanted to revisit information items forwarded from the committees.

President Freeman continued to the items directly from the President.

ITEMS DIRECTLY FROM THE PRESIDENT

Due to Interim Executive Vice President and Provost Elish-Piper giving a welcome at the Illinois Supreme Court hearings on campus, item 8.c.1. was postponed until she returned.

Agenda Item 8.c.2. Room Furnishing Project for Neptune Complex

President Freeman presented agenda item 8.c.2. for approval.

Chair Gayles asked for a motion to approve item 8.c.2. Trustee Athas so moved, and Trustee Herrero seconded. The motion was approved.

Agenda Item 8.c.3. Proposed Amendments to the Board of Trustees Regulations Regarding Academic Programs, Faculty and Administrative Employees, Financial Matters, and Physical Facilities

President Freeman presented agenda item 8.c.3. for approval.

Chair Gayles asked for a motion to approve item 8.c.3. Trustee Wasowicz so moved, and Trustee Moore seconded.

Vice Chair Butler asked to amend the motion to make grammatical and editorial edits to the Board regs purchases section. Under the section, he proposed adjusting numbers 15, 16, 17, and 18 to have semicolons prior to the next item to ensure the list was appropriately formatted. Both 17 and 18 will start with lowercase due to the continuation of the list. Further, he proposed adding “and” after 18 and ending the list with 19 and a period. 20 and 21 of list will become separate items and be (2) and (3) subsequently, followed by the former (2) becoming (4). Trustee Wasowicz, who made the motion originally, accepted these edits.

Trustee Herrero brought forward questions about the change related to the university authorization to enter into contracts under which it serves solely as an agent or pass-through for external funding. While she agreed fundamentally with that change, she wanted to clarify that the university had

done its due diligence on the matter. President Freeman shared an example of a researcher having their work and grant funding in jeopardy due to not having the ability to get board approval in a timely manner due to the meeting schedule. Further, Trustee Herrero asked about the updated reporting obligation of \$500,000 or more. Discussion ensued around both of these topics. It was noted, that other state schools have \$500,000 or \$1,000,000 reporting thresholds, so NIU is not seeking to do something unusual with the update. Further, the staff time utilized in reporting at lower levels is not sustainable, and the President, as well as the NIU leadership team, regardless, has the responsibility to bring items of significance to the board regardless of monetary amount.

The Board asked for Trustee Butler to review the reporting further prior to the next meeting, and to determine the best way forward related to suggestions that included criteria for the \$250,000-\$500,000 amount to be reported or perhaps a once a year report instead of quarterly in order to determine if this was necessary moving forward.

The motion was approved.

Agenda Item 8.c.1. Appointment of Executive Vice President and Provost

President Freeman presented agenda item 8.c.1. for approval.

Chair Gayles asked for a motion to approve item 8.c.1. Trustee Strom so moved, and Trustee Athas seconded. The Board congratulated Interim Executive Vice President and Provost Elish-Piper on her appointment. The motion was approved.

Agenda Item 8.c.4. College of Engineering and Engineering Technology Overview

College of Engineering Dean, Dave Grewell, presented Agenda Item 8.c.4.

OTHER MATTERS

There were no other matters.

NEXT MEETING DATE

The next regularly scheduled meeting of the Board of Trustees will be held June 20, 2024 at 9 a.m.

CLOSED SESSION

Chair Gayles stated that the Board needed to go into closed session and would not take up new business following the closed session. He asked for a motion to close the public meeting to conduct closed session to discuss the following subjects as authorized by the Open Meetings Act: closed session minutes matters as generally described under section 2(c)(21) of the open meetings act; collective bargaining matters as generally described under section 2(c)(2) of the open meetings act; litigation and risk management matters as generally described under sections 2(c)(11) and (12) of the open meetings act; real estate matters as generally described under section 2(c)(5) and (6) of the open meetings act; student disciplinary cases matters as generally described under sections 2(c)(11) and (12) of the open meetings act; and personnel matters as generally described under sections 2(c)(1)(2)(3) and (21) of the open meetings act.

Trustee Athas so moved, and Trustee Wasowicz seconded.

Chair Gayles asked Ms. Wright to conduct a roll call vote.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Yes

The motion was approved.

The Board closed the public meeting at 10:41 a.m.

The meeting reconvened from closed session at 12:01 p.m.

Chair Gayles asked Ms. Wright to conduct a roll call.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Yes

ADJOURNMENT

Chair Gayles asked for a motion to adjourn. Trustee Wasowicz so moved, and Trustee Herrero seconded.

Chair Gayles asked Ms. Wright to conduct a roll call.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Yes

The motion was approved.

The meeting adjourned at 12:02 p.m.

Respectfully submitted,

Crystal Doyle

Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.

Minutes of the
Board of Trustees of Northern Illinois University
Special Meeting
May 9, 2024

CALL TO ORDER AND ROLL CALL

The meeting was called to order at 12:40 p.m. by Board Vice Chair John Butler in the Board of Trustees Room, 315 Altgeld Hall.

Recording Secretary Crystal Doyle conducted a roll call.

Trustee Rita Athas: Present

Trustee Dennis Barsema: Present

Vice Chair John Butler: Present

Trustee Veronica Herrero: Present

Trustee Tim Moore: Present

Trustee Leland Strom: Present

Trustee Eric Wasowicz: Present

Board Chair Montel Gayles: Absent

Also present: President Lisa Freeman; Vice President and General Counsel and Board Parliamentarian Bryan Perry; Executive Vice President and Provost Laurie Elish-Piper; Senior Associate Vice President and Chief Human Resource Office John Acardo; Vice President for Diversity, Equity and Inclusion and Chief Diversity Officer Carol Sumner; Vice President for Enrollment Management, Marketing and Communications Sol Jensen; and University Advisory Council (UAC) Representatives Felicia Bohanon, Benjamin Creed and Natasha Johnson.

MEETING AGENDA APPROVAL

Vice Chair Butler asked for a motion to approve the meeting agenda. Trustee Barsema so moved, and Trustee Strom seconded. The motion was approved.

Vice Chair Butler asked for a motion to amend that motion, in order to approve a consent agenda for action items 6.b. through 6.e. Trustee Wasowicz so moved, and Trustee Herrero seconded. The motion was approved.

CHAIR'S COMMENTS/ANNOUNCEMENTS

Vice Chair Butler welcomed the members of the University Advisory Council who were present.

PUBLIC COMMENT

Vice Chair Butler introduced the public comment portion of the meeting. General Counsel Perry stated that there were no registered public comments.

PRESIDENT'S REPORT NO. 176

Vice Chair Butler asked President Freeman to present the President's Report No. 176.

President Freeman presented the items that were moved to the consent agenda including:

Agenda Item 6.b. – Appointment of Dean of the College of Business

Agenda Item 6.c. – Appointment of Vice President for Research and Innovation Partnerships

Agenda Item 6.d. – Reappointment of Acting Dean of the College of Education

Agenda Item 6.e. – Division of Human Resource Services Purchase of Time Keeping and Absence Management Solution

Vice Chair Butler asked for a motion to approve the consent agenda items. Trustee Barsema so moved, and Trustee Wasowicz seconded. The motion was approved.

Agenda Item 6.a. Recommendations for Faculty Tenure and/or Promotion Effective 2024-2025

President Freeman presented agenda item 6.a. for approval.

Vice Chair Butler reminded Trustee Moore he needs to abstain from voting on this agenda item.

Vice Chair Butler asked for a motion to approve item 6.a. Trustee Strom so moved, and Trustee Herrero seconded.

Vice Chair Butler asked Ms. Doyle to conduct a roll call vote.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Abstain

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Absent

The motion was approved.

OTHER MATTERS

There were no other matters.

NEXT MEETING DATE

The next regularly scheduled meeting of the Board of Trustees will be held June 20, 2024 at 9:00 a.m.

CLOSED SESSION

Vice Chair Butler stated that the Board needed to go into closed session and would not take up new business following the closed session. He asked for a motion to close the public meeting to conduct closed session to discuss the following subjects as authorized by the Open Meetings Act: closed session minutes matters as generally described under section 2(c)(21) of the open meetings act; collective bargaining matters as generally described under section 2(c)(2) of the open meetings act; litigation and risk management matters as generally described under sections 2(c)(11) and (12) of the open meetings act; real estate matters as generally described under section 2(c)(5) and (6) of the open meetings act; student disciplinary cases matters as generally described under sections 2(c)(11) and (12) of the open meetings act; and personnel matters as generally described under sections 2(c)(1)(2)(3) and (21) of the open meetings act.

Trustee Wasowicz so moved, and Trustee Herrero seconded.

Vice Chair Butler asked Ms. Doyle to conduct a roll call vote.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Absent

The motion was approved.

The Board closed the public meeting at 12:48 p.m.

The meeting reconvened from closed session at 1:42 p.m.

Vice Chair Butler asked Ms. Doyle to conduct a roll call.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Absent

ADJOURNMENT

Vice Chair Butler asked for a motion to adjourn. Trustee Wasowicz so moved, and Trustee Strom seconded.

Vice Chair Butler asked Ms. Doyle to conduct a roll call.

Trustee Rita Athas: Yes

Trustee Dennis Barsema: Yes

Vice Chair John Butler: Yes

Trustee Veronica Herrero: Yes

Trustee Tim Moore: Yes

Trustee Leland Strom: Yes

Trustee Eric Wasowicz: Yes

Board Chair Montel Gayles: Absent

The motion was approved.

The meeting adjourned at 1:43 p.m.

Respectfully submitted,

Crystal Doyle

Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.

Minutes of the
Board of Trustees of Northern Illinois University
Special Meeting
May 28, 2024

CALL TO ORDER AND ROLL CALL

The meeting was called to order at 2:00 p.m. by Board Chair Montel Gayles in the Board of Trustees Room, 315 Altgeld Hall.

Recording Secretary Liz Wright conducted a roll call.

Trustee Rita Athas: Present

Trustee Dennis Barsema: Absent

Vice Chair John Butler: Present

Trustee Veronica Herrero: Absent

Trustee Tim Moore: Present

Trustee Leland Strom: Absent

Trustee Eric Wasowicz: Present

Board Chair Montel Gayles: Present

Also present: President Lisa Freeman; Vice President and General Counsel and Board Parliamentarian Bryan Perry; Executive Vice President and Provost Laurie Elish-Piper; Vice President for Administration and Finance and Chief Financial Officer George Middlemist; Director of Labor Relations Jesse Perez; and Director of NIU Housing and Residential Services Dan Pedersen.

MEETING AGENDA APPROVAL

Chair Gayles asked for a motion to approve the meeting agenda. Trustee Wasowicz so moved, and Trustee Moore seconded. The motion was approved.

CHAIR'S COMMENTS/ANNOUNCEMENTS

Chair Gayles thanked everyone for their well wishes and support after his mother's passing earlier this month.

PUBLIC COMMENT

Chair Gayles introduced the public comment portion of the meeting. General Counsel Perry stated that there were no registered public comments.

PRESIDENT'S REPORT NO. 177

Chair Gayles asked President Freeman to present the President's Report No. 177.

Agenda Item 6.a. Collective Bargaining Agreement for the American Federation of State, Municipal, and County Employees, Council 31, Local 963.

President Freeman presented agenda item 6.a. for approval.

Chair Gayles asked for a motion to approve item 6.a. Trustee Wasowicz so moved, and Trustee Athas seconded.

The motion was approved.

OTHER MATTERS

There were no other matters.

NEXT MEETING DATE

The next regularly scheduled meeting of the Board of Trustees will be held June 20, 2024 at 9:00 a.m.

CLOSED SESSION

Chair Gayles stated that the Board does not need to go into closed session.

ADJOURNMENT

Chair Gayles asked for a motion to adjourn. Trustee Moore so moved, and Trustee Wasowicz seconded.

Chair Gayles asked Ms. Doyle to conduct a roll call.

The motion was approved.

The meeting adjourned at 2:07 p.m.

Respectfully submitted,

Crystal Doyle/Liz Wright
Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.

REPORTS OF BOARD COMMITTEES AND BOARD LIAISONS

a. Academic Affairs, Student Affairs and Personnel Committee (AASAP)

The Academic Affairs, Student Affairs and Personnel Committee met on May 9, 2024. The committee received four action items: Recommendation for Faculty Tenure and/or Promotion Effective 2024-2025, Appointment of Dean of the College of Business, Appointment of Vice President for Research and Innovation Partnerships and Reappointment of Acting Dean of the College of Education, all were approved. The committee received two information items: University Goals Enrollment Updates presented by Vice President for Enrollment Management, Marketing and Communications Sol Jensen and Supporting AI Use for Teaching and Learning presented by Associate Vice Provost for Teaching, Learning and Digital Education Jason Rhode.

b. Finance, Audit, Compliance, Facilities, and Operations Committee (FACFO)

The Finance, Audit, Compliance, Facilities and Operations Committee met on May 9, 2024. The committee was presented with three Financial Update items: Quarterly Financial Summary Fiscal Year 2024 Third Quarter as of March 31, 2024; Periodic Report of Cash and Investments for Period Ending March 31, 2024; and Fiscal Year 2025 University Budget Preliminary Summary. The committee approved eight actions items: Fiscal Year 2025 NIU Foundation Professional Services Contract; Intercollegiate Athletics Air Charter Services for Football and Men's and Women's Basketball Teams; Media Buying Services; Division of Human Resource Services Purchase of Time Keeping and Absence Management Solution; NIU Rockford Boiler Replacements; Stevenson Residential Complex Delivery Dock Area Repairs; Yordon Center Building Addition; and US Geological Survey Research Partnership Renovation Project Budget Increase. Of the eight action items, one item was sent in front of the Special Meeting that same day. The committee also received two University Information items: Campus Energy Improvements Phase I Implementation and Quarterly Summary Report of Transactions in Excess of \$100,000 for the Period January 1, 2024 to March 31, 2024.

c. Research and Innovation, Legal and Legislative Affairs Committee (RILLA)

The Research and Innovation, Legal and Legislative Affairs Committee met on May 9, 2024. The committee reviewed three information items: the State Legislative Report, the Federal Relations Report, and the Sponsored Programs Administration Quarterly Report. Dr. Yvonne Harris, Vice President for Research and Innovation Partnerships reported that research funding continues to grow. Compared to the same period last year, research funding increased by 17%, instructional funding increased 1% and due to delays in executing several State of Illinois contracts, funding for other sponsored activities is down 51% this quarter.

The National Science Foundation (NSF) awarded NIU Assistant Professor, Jifu Tan the Career Grant for his work studying blood clotting. This award is NSF's highest honor in support of junior faculty who exemplify the role of teacher-scholars through outstanding research, excellent education, and integration of education and research. Over the next five years Dr. Tan will focus his research on developing models that advance the understanding of blood clot formation and rupture also known as embolism. Through the use of artificial intelligence, Dr. Tan will seek to better predict clot growth and its response to the pulsation of blood flow, collisions from blood cells and vessel dilation.

New research from NIU Assistant Professor, Dr. Suzanne Autrey-Mulligan aims to help us better understand the relationship between rock formations and the ages of the Grand Canyon

landscapes. Using new tools to resolve pressure variations in mid-crustal 1.7-billion-year-old rocks from the Upper Granite Gorge of the Grand Canyon, Dr. Autrey-Mulligan has provided evidence supporting the reevaluation of the tectonic history of the Precambrian rocks that form the basement of the canyon. This new data and knowledge will provide new insight and fuel new research into the formation of the earth itself.

Assistant Director, Federico Bassetti from the Office of Innovation helped graduate student, Katie Erdman, launch the international charter Girls Who Code-College Loop on March 6 at the 71 North Partnership Studio. NIU now hosts an affiliate chapter of the College Loops initiative, where we help lead the movement to inspire, educate, and equip young women with the computing skills to pursue 21st-century opportunities. This global non-profit organization is dedicated to closing the gender gap in technology. It leads efforts to motivate, teach, and prepare young women with coding skills necessary for success in the modern world. Since the launch event, the chapter has experienced significant traction and has grown to over 40 members in a few weeks. The rapid growth of the College Loop demonstrates the demand and enthusiasm for such networks at NIU. This initiative aligns with our commitment to diversity and inclusion, social mobility, particularly in the technology field, and we anticipate that the chapter will continue to thrive and positively impact the community.

Katie Erdmann, a master student in data analytics, along with Nikhil Doddaga, an honors college student and sophomore in Computer science placed second in the De La Vega pitch competition for a business idea called Drowsy Driver. This idea is a market ready mobile app that monitors a driver's eyes, hands, and seatbelt to detect signs of drowsiness, such as prolonged eye closure or hands dropping from the wheel. As a result of their work, Katie was invited to become a member of the 17th Cohort of WMNtech Founders which was created by a community of 1871 employees and members to promote inclusion, equity, and allyship across all levels of 1871 and support women entrepreneurs. Nikhil, a finalist in the 1871 Tech Challenge 2024, started Huskie Hackers, a student organization for coders that intend to participate in National Hackathons.

State Legislative Report to the BOT

Ms. Katie Davison, Director of State Relations provided the State Legislative Report. She reported that The General Assembly spring session is set to adjourn on May 24. She also noted that NIU is monitoring and weighing in on several bills including updates to the Illinois Articulation Initiative (IAI) for police pension reform. Others are: HB5655-allows a National Guard/student time to submit classwork or assignments missed due to participation in military obligations/drills. HB3758-a RFP pathway for community college to secure baccalaureate completion through partnership with public four-year university. HB5020-changes high school and community college partnership agreements, the Dual Credit Committee, instructor and other standards for dual credit courses and data in reports made by higher education institutions. Provides that a Dual Credit Instructor Endorsement Framework shall be developed through a committee involving collaboration between the Illinois Community College Board and the State Board of Education.

On March 1, 2024, the Commission on Equitable Public University Funding submitted recommendations to the General Assembly outlining an adequacy-based, equity-centered funding model to distribute state resources to public universities. Students, faculty and staff participated in NIU Advocacy Day on March 5 in Springfield to share the impact NIU has had on their lives and to advocate for an increase to the NIU operational budget in FY25. On April 9, the Senate Higher Education Appropriations committee held a subject matter hearing on the recommendations. A subject matter hearing for the House was set for mid-April.

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Federal Relations Report to the BOT

Ms. Julia Rowe, Director of Federal Relations provided the Federal Relations Report. She reported that in March, Congress reached an agreement to fund the government for FY24. Of programs of importance to NIU, the National Science Foundation received a 5% cut, NASA received a 6% cut and higher education campus-based programs received flat funding, which had been slated for elimination in earlier House appropriation bills. Federal Relations engaged with the Illinois congressional delegation to advocate for increases in these and other priority accounts for FY25. In the FY24 budget, NIU received Congressional Directed Community Project Funding for the LEAP Program.

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Sponsored Programs Administration Report to the BOT

Ms. Dara Little, Associate Vice President for Research/Executive Director Sponsored Programs provided the written Quarterly Report. The report shows that in the third quarter, NIU received 47 sponsored awards totaling \$7.2M to bring the cumulative FY24 activity to 219 sponsored awards totaling \$28.5M with a breakdown by activity of \$13.5M for research,

\$6.8M for instruction, and \$8.1M for other sponsored activities. Research funding increased over the same period last year but current funding for other sponsored activities is down due to delays executing several large State of Illinois contracts that fund FY24 programs. NIU's sponsored funding portfolio continues to show signs of steady growth with federal and state funds comprising most of the funding portfolio.

d. Illinois Board of Higher Education

The IBHE met on November 15, 2023, at the University of Illinois, Chicago. The Board Chair opened the meeting with comments about fall enrollment across the state's public universities and the Illinois Community College System. The chair recognized departing Board members for their service and welcomed new members. Provost Karen Colley from UIC welcomed the Board and provided university highlights. The Board also received an update from Ginger Ostro, Executive Director of IBHE, highlighting progress and work underway on Housing and Basic Needs Insecurity, Equity Plan Framework, Trustee Leadership Conference, Transfer Summit, and Transfer Success.

The Board heard presentations from the Public University Presidents Advisory Committee and the Community College Presidents. The Board also heard public comments from several individuals who supported ECACE.

The IBHE granted authority to multiple community colleges to offer a variety of Associate of Applied Science degrees, subject to the institutions' implementation and maintenance of conditions presented in their applications.

- Rend Lake College to offer the Associate of Applied Science in Digital Agriculture.
- South Suburban College and Waubensee Community College to offer the Associate of Applied Science in Cybersecurity.
- Southwestern Illinois College to offer the Associate of Applied Science in Geospatial and Aeronautical.
- Spook River College to offer the Associate of Applied Science in Early Childhood Education.

The Board also took the following action:

- Amended all prior program approvals issued to Frontier College, Lincoln Trail College, Olney Central College, and Wabash Valley College to show the approvals were given to Illinois Eastern Community College District #529.
- Provided authority for Illinois State University to offer a Bachelor of Science in Electrical Engineering, Bachelor of Science in Engineering, and Bachelor of Science in Mechanical Engineering and to establish the School of Creative Technologies in the Central Region subject to the institutions' implementation and maintenance of conditions presented in their applications.
- Provided authority for Southern Illinois University Carbondale to offer a Bachelor of Science in Cybersecurity Technology and a Bachelor of Science in Statistics subject to the institutions' implementation and maintenance of conditions presented in their applications.
- Provided authority for the University of Illinois Chicago to establish a Center for Population Health, Health Equity, Health Outcomes, and Health Informatics Research and the Institute for Healthcare Delivery Design.
- Provided authority for the University of Illinois Urbana-Champaign to establish the Center for Culturally Responsive Evaluation and Assessment, Center for Global Studies,

Center for South Asian and Middle Eastern Studies, Center for Women and Gender in Global Perspectives, and the Illinois Global Institute.

- Provided authority for Midwestern Career College to offer the Associate of Applied Science in Dental Hygiene and the Associate of Applied Science in Diagnostic Medical Sonography subject to the institutions' implementation and maintenance of conditions presented in their applications.

The IBHE also met on January 17, 2024, at Loyola University. The Board Chair opened the meeting with comments about the transformative and important work that lies ahead in making the state's higher education system more equitable. The Board also received an update from Ginger Ostro, Executive Director of IBHE, on ECACE and Smart Start, Nurse Educator fellowships, Diversifying Faculty Initiative applications, equity maps, and the release of the second report of data about students who are parents.

The Board also took the following action:

- Approved Fiscal Year 2025 Budget recommendations.
- Approved the non-instructional capital project for the University of Chicago.
- Provided authority for Illinois Central College to offer an Associate in Fine Arts (AFA) in Art and Design and an Associate in Fine Arts (AFA) in Music subject to the institutions' implementation and maintenance of conditions presented in their applications.

e. Universities Civil Service Merit Board

The University Civil Service Merit Board has not met since its last meeting on March 15, 2024, about which NIU's Merit Board Representative reported verbally at the last regular meeting of the NIU Board. Since that time, on May 7, 2024, the State Universities Civil Service System Office provided NIU with the outcome of the FY22 Governance, Risk, and Compliance Audit of the NIU Office of Human Resources. The audit covers the period of February 1, 2019 - June 30, 2021. As noted in the prior verbal report, outcomes of such audits have been delayed due to a number of factors. NIU's Merit Board Representative is consulting with human resources leadership to review and discuss the findings as appropriate. The next meeting of the Merit Board is scheduled for July 9, 2024.

f. Northern Illinois Research Foundation

The Northern Illinois Research Foundation (NIRF) and the Intellectual Property Management Committee met on May 7 and May 13, 2024, respectively. The Audit and Finance Committee did not meet in person but received financial statement updates for the quarter electronically. The Board of Directors received quarterly updates from the Audit and Finance Committee and the NIU Office of Innovation. The IP Management Committee discussed two upcoming filing decisions, a patent maintenance fee decision, and reviewed invention disclosures received by the Office of Innovation.

g. Northern Illinois University Foundation and Alumni Association

Fundraising

Through the month of May, fundraising performance reached \$26.7M in total philanthropy, which is an increase compared to the \$22.9M raised during the same period last year. The pipeline continues to be very strong and growing.

A high point in the quarter was the performance of *Huskies United*, our Day of Giving, which outperformed last year's event, raising over \$2.6M in 1895 minutes – a new record!

Campaign Planning

The campaign has significantly accelerated over the past two months. Notable progress and milestones since the last board meeting:

1. As of May 31, the campaign total reached \$100.8M.
2. University and Foundation leadership met on May 24 to develop the timeline for a decision on a phase one campaign goal, and the timing of a public campaign announcement.
3. Campaign fluency training for the university community - inclusive of boards and key leadership groups – has been completed.
4. A calendar of campaign focused engagement events for key stakeholders and large donor groups, to be completed in calendar 2024, is complete.
5. The fundraising goal and full case for support for the Center for Greek Life has been established.

The first campaign-related event took place on Friday, May 3 – ***Huskie Trek Talks: Ideas in Motion***. This event was a successful collaboration of the NIU Foundation/Division of University Advancement, the Division of Research and Innovation Partnerships, and the Division of Outreach, Engagement and Regional Development. The purpose of the event was to bring together the NIU Campus community, donors and friends to applaud the innovative Huskie spirit of faculty and staff. Over 100 attendees joined the event in person in the Recital Hall of the Music Building, with another 45 attending virtually. All witness exclusive presentations by NIU’s talented faculty, staff and students, featuring projects that embodied the research excellence and artistic innovation for which NIU is known. In total, 13 presentations were shared, and six grants were awarded: one by the Office of Research and Innovation Partnerships, one by the Office of Outreach, Engagement and Regional Development, and four by the NIU Foundation Grants Committee. It is the goal for this event, and others like it, to continue highlighting the research and innovation at NIU, and attract additional donors and investors to the emerging Innovation Fund, which is a marquee project of the campaign.

NIU Alumni Association Update

The Alumni Association board of directors collaborated with the Northern Fund to issue a pair of challenges for Huskies United Day of Giving. The board put forward \$12,800 in challenges for two matches. The board directors then were active advocates during the day of giving, sharing words of encouragement on social media.

Award Nominations

Award nominations for the 2024 NIUAA Alumni Awards closed in March and the Awards Committee is reviewing candidates. With nearly 70 nominees for the seven NIUAA awards, there is no shortage of excellent candidates. The process was re-worked last year to include an opportunity for feedback from partners in development to review strategic benefit surrounding various candidates. A thorough background check was also included in the process.

New Board Members

The NIUAA has seven vacancies to fill between expiring and non-renewing terms. More than 30 applicants put forth their names and the nominating committee deeply reviewed each one, before conducting twelve interviews. The final slate was approved at the most recent meeting of the Board of Directors on May 18th.

Upcoming events

NIU Day at the White Sox
NIU Night with the Cubs
Distinguished Donor Event
NIU Alumni Travel Program Montenegro

June 30, Guaranteed Rate Field
July 22, Wrigley Field
August 6, The Morton Arboretum
August 14 – 22, Croatia and
Montenegro

FISCAL YEAR 2025 NIU FOUNDATION PROFESSIONAL SERVICES CONTRACT

Summary: The Northern Illinois University Foundation (Foundation), an independent organization, operates to support the mission of Northern Illinois University through fundraising, asset management, and related support activities. Among such activities, the Foundation coordinates and manages cultivation, solicitation, acknowledgment, and receipting of all gifts; administers, invests, and disburses funds; maintains all constituent records; manages and coordinates communications with constituents; and performs alumni relations activities. The FY25 contract amount is \$743K, which covers salaries and other expenses for designated employees as well as a portion of programmatic services in accordance with contract obligations.

Background: The university and the Foundation annually enter into a contract which serves to outline the fundraising services and activities to be provided by the Foundation on behalf of the university each year. This contractual agreement is in accordance with the Legislative Audit Commission Guidelines. The amount represents no change over FY24.

Funding: Institutional Funds

Northern Illinois University Foundation – DeKalb, IL..... \$743,600

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for the Fiscal Year 2025 NIU Foundation Professional Services Contract.

Amounts Approved:

FY24: \$743,600

FY23: \$743,600

FY22: \$743,600

INTERCOLLEGIATE ATHLETICS AIR CHARTER SERVICES FOR FOOTBALL AND MEN’S AND WOMEN’S BASKETBALL TEAMS

Summary: Intercollegiate Athletics requests permission to purchase air travel services for the NIU Football and Men’s and Women’s Basketball Teams.

A Request for Proposal was developed and will be advertised in the *Illinois Procurement Bulletin* for the Fiscal Years 2025 through 2029 for air charter services for the football and men’s and women’s basketball teams. Intercollegiate Athletics will initiate the process of approval of bid with the successful vendor(s) upon completion of evaluation of the bids. The total is not-to-exceed \$6M (\$500K for football each year; \$700K for both basketball programs each year).

Background: Intercollegiate Athletics annually requests these services for the football team. This is a new request to include men’s and women’s basketball teams. Whenever practical we utilize ground bus transportation; however, due to the large number of travelers, as well as the distance to these away games, an air charter service for these games is necessary. Flights to the two MAC conference games for football will be dependent on if the games ultimately are scheduled for MACtion weeknight games involving football and charter flights are also used to minimize impact to academics and missed course and less travel time for the basketball programs during conference competitions.

Funding: Institutional Funds

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for Intercollegiate Athletics Air Charter Services for Football and Men’s and Women’s Basketball Teams.

MEDIA BUYING SERVICES

Summary: The Division of Enrollment Management, Marketing & Communications (EMMC) is requesting approval of expenditure authority for a new contract with a vendor for media buying services. EMMC is responsible for developing and deploying strategies to attract a diverse mix of students to attend NIU. The division's marketing and communications activities ensure that institutional and brand-building work is aligned with recruitment of new students. The staff develops strategic, highly targeted advertising campaigns to have the greatest impact on prospective students, meeting goals within the Strategic Enrollment Management (SEM) 2.0 Plan and the 2024 University Goals (Goal 2A).

Primarily, media vendors serve as an intermediary for most media purchases, decreasing transactional costs to NIU, providing greater institutional buying power and data analytics that allows NIU to respond rapidly. The contract with our current partner for media buying services is concluding on June 30, 2025, and NIU needs to conduct a Request for Proposal (RFP) for a new contract, to be advertised on the *Illinois Procurement Bulletin* later this summer, and to begin on July 1, 2025.

The initial term of 2 years (FY26, FY27) will not exceed \$7M, and each renewal year (FY28, FY29, FY30) will not exceed \$3.5M.

Background: In the [June 18, 2020, Board of Trustees meeting \(Agenda Item 8.c.3\)](#), the Board approved expenditure authority for media buying services for FY21 through FY25, not to exceed \$3M annually.

Contracting with a single vendor for media purchases has enabled the University to achieve cost savings through a lower purchase price on a cohesive media-purchasing plan, as well as benefiting from the cost savings achieved through the vendor's larger-scale purchasing ability. Additionally, the use of a single vendor for media buying amplifies cost savings through a significant reduction in administrative time and effort and bonus exposure through negotiations with its vendors, as well as enables the department to respond more rapidly to changing requirements.

The greater Chicago area continues to be one of the most competitive recruitment landscapes and expensive media markets in the country, so it is important to invest in additional marketing and advertising during this time of declining numbers of high school students, and large percentage of students leaving the State of Illinois or not enrolling in college at all. Currently, NIU focuses its annual advertising spend on three primary undergraduate campaigns: generating student interest based on specific demographics, encouraging students and families to visit campus (or virtual recruitment events) and an overarching thematic/brand awareness campaign. While this will continue in future years, NIU will also add a focus on strategic advertising with additional populations: strengthening our outreach to urban and suburban Latinx students and families as we transition to HSI status, graduate students (including the programs previously handled by Wiley Educational Services) and adult learners (including individuals who have some college credit but no degree). As NIU embarks on a new RFP process during the next academic year, we are recommending expenditure authority for a new contract with a vendor for media buying services.

This level of continued investment will allow NIU to accomplish goals within the SEM 2.0 Plan and the 2024 University Goals (Goal 2A) by being in the market consistently throughout the year, in addition to raising awareness of NIU's excellent academic programs to new populations.

About Current Vendor: NIU's current partner utilizes powerful media targeting tools and data sets that reach highly refined audiences through paid media tactics, including the use of a

proprietary approach to elevating brand in higher education through multi-channel advertising. They combine NIU's existing research with their own in-house analytics tools and audience datasets to create customized, targetable personas based on the individualized attributes, interests, and advertising touchpoints, both digital and out-of-home, of each segment.

This partnership includes two subcontractors who both qualify under the state's Business Enterprise Program (BEP). Also, all three organizations have locations in Chicago, Illinois.

Funding: Appropriated Funds

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for Media Buying Services.

NIU ROCKFORD BOILER REPLACEMENTS

Summary: NIU Rockford has two gas-fired boilers that provide heat for this satellite campus. These boilers are original to the building from 1994 and have been showing increasing signs of deterioration for which replacement rather than repair is required. This project plans to replace the boilers, flue stack, vents, controls and associated piping with a new more efficient system to bring it in line with current industry standards, construction codes, and regulations. The installation schedule will be dependent on final design completion and equipment lead times. Replacement is most likely planned during the 2025 summer months/cooling season at a cost of \$465K.

Background: In 2017 a campus wide boiler assessment identified several standalone boilers needing to be prioritized for replacement. In 2022 further review identified the boiler system at Rockford as the first priority out of standalone boilers for replacement. Alternatives to gas fired boilers were considered including electric and geothermal, however for this particular case it was determined that gas fired is the most cost-effective solution for this particular situation at this time.

Funding: Institutional Funds

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for NIU Rockford Boiler Replacements.

STEVENSON RESIDENTIAL COMPLEX DELIVERY DOCK AREA REPAIRS

Summary: The university is requesting approval to proceed with design and construction for major repairs to the primary delivery point area for the Stevenson residential complex. Spot or minor repairs are no longer an economical option, and the condition is adversely affecting vehicles using this area. The intent is for design work to proceed beginning this summer/fall with work to be completed summer 2025 to minimize impact during the academic year.

Background: This concrete paved parking lot is original to the building from the 1960's and is in a severe state of disrepair. An original below grade electrical snow melt system that is no longer functioning has been corroding and accelerating the degradation of the lot. This work has been needed for several years but due to budget constraints has been postponed previously.

The scope of work for the project will involve a complete replacement of the sloped concrete lot including modifications as needed for safety at the delivery dock perimeter. The work will be completed by contracted support with assistance and project management from internal personnel with an estimated cost of \$475K.

Funding: Institutional Funds

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for the Stevenson Residential Complex Delivery Dock Area Repairs.

YORDON CENTER BUILDING ADDITION

Summary: As a continuing commitment to providing enhanced nutritional services for NIU student-athletes, a 7,000 square foot addition is proposed for the east entrance of the Yordon Center. Intended to expand on the Northwestern Medicine Sports Performance Center and the Phi Sigma Kappa Alumni Association Nutrition Center which opened in June 2019, the additional space, named the 1899 Club in reference to the initial year NIU competed in intercollegiate athletics, will provide training table meals for the NIU football, volleyball and basketball teams and will expand to additional programs. When not in use for team meals, the space will allow NIU's Student-Athlete Academic Support Services to support additional tutoring and small group meetings.

Background: Following an assessment and review of options, a concept plan has been developed for the proposed building addition to the Yordon Center. Conclusions from the planning effort facilitated donor funding solicitation and donations are now in hand to proceed with further project development. Following project approval, design efforts are estimated to take six to eight months with procurement efforts following. Construction may be feasible for summer/fall of 2025. Total donor funding amount is expected at \$4M.

Funding: Donor Funding

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for the Yordon Center Building Addition.

US GEOLOGICAL SURVEY RESEARCH PARTNERSHIP RENOVATION PROJECT BUDGET INCREASE

Summary: This project was originally approved at the December 8, 2022, meeting for \$500K and supported the renovation of available NIU space to host the local U.S. Geological Survey (USGS) office for enhanced research collaboration. Due to some additional exterior site improvements desired and funding provided by the USGS, this revised project approval is to increase the budget from \$500K to \$600K.

Below is the original project summary for reference.

The University is requesting to establish a long-term partnership arrangement with the U.S. Geological Survey's (USGS) Central Midwest Water Science Center involving collaborative research objectives and shared space on the university campus. To facilitate interaction with university researchers, the DeKalb field office is proposed to be relocated to space previously supporting the former NIU print shop operations on the western side of campus.

Renovation costs to reconfigure the space for USGS requirements is estimated at \$500K and will be funded by USGS via grant reimbursement orchestrated through NIU's Sponsored Program Administration office. The ten-year cooperative agreement is estimated to generate approximately \$130K of grant funding annually and may be adjusted for inflation in future years.

Background: Since the original approval, a cooperative agreement was finalized with USGS and provided the funding for the on-going space renovation. USGS transitioned out of their previous leased location in January 2024 with staff working remotely and supported with some shared campus lab space until the renovation is completed, which is expected to be by August 2024.

The scope of additional exterior site improvements involves the construction of a vehicle and equipment storage yard adjacent to the building spaces being renovated.

Funding: Institutional Funds supported with USGS grant funding

Resulting cooperative agreement for USGS partnership to be revenue-generating and anticipated to be approximately \$1.3M over the potential ten-year term.

Recommendation: The Finance, Audit, Compliance, Facilities and Operations Committee recommends Board of Trustee approval of the request for the US Geological Survey Research Partnership Renovation Project Budget Increase.

UNIVERSITY GOAL ENROLLMENT UPDATES

Vice President for Enrollment Management, Marketing and Communications Sol Jensen will present on University Goal Enrollment Updates.

SUPPORTING AI USE FOR TEACHING AND LEARNING

Associate Vice Provost for Teaching, Learning and Digital Education Jason Rhode will present on Supporting AI Use for Teaching and Learning.

STATE LEGISLATIVE REPORT

This report covers the period from January 2024 to April 2024.

Governor Pritzker's Budget Recommendations

On February 21, Governor Pritzker provided his FY25 proposed budget. In the budget, higher education is allocated a two percent increase which would mean an FY25 appropriation of \$100,643,300 for Northern Illinois University (NIU). Also included in the Governor's budget is an additional \$450M for deferred maintenance, a proposed increase of \$10M to the Monetary Award Program and holds the funding for AIM HIGH to its existing level.

2024 Legislative Session

The 103rd General Assembly spring session is scheduled to adjourn on May 24, 2024.

Legislation

NIU is currently monitoring and weighing in on several bills including updates to the Illinois Articulation Initiative (IAI) and university police pension reform, among others. A complete list of enacted legislation will be provided upon adjournment.

Faculty and administration have testified as subject matter experts on several pieces of legislation including:

- HB5655 – Requires each public institution of higher education shall adopt a policy to allow a student who is a member of the National Guard or any reserve component of the Armed Forces of the United States to submit classwork and complete any other class assignments missed due to the student participating in a drill or other military obligation required as a member of the National Guard or the reserve component.
- HB3758 – Creates an RFP-type pathway for a community college to secure a baccalaureate completion partner with a public four-year university. If the community college is not able to directly partner with their proximate university, a request is submitted to all of the four-year universities to find a compatible match to partner on a baccalaureate completion program.
- HB5020 - Makes changes concerning high school and community college partnership agreements, the Dual Credit Committee, instructor and other standards for dual credit courses, and the data in reports made by institutions of higher learning. Provides that a Dual Credit Instructor Endorsement Framework shall be developed through a committee involving collaboration between the Illinois Community College Board and the State Board of Education.

NIU Advocacy Day

Seventy-five students, faculty, staff and alumni signed up to participate in NIU Advocacy Day on March 5, 2024, in Springfield. Advocacy Day kicked off with a legislator panel that included Senator Karina Villa, Representative Jeff Keicher, and Representative Joe Sosnowski. Volunteers spent the remainder of the day meeting with legislators and staff to share the impact NIU has had on their lives and to advocate for an increase to the NIU operational budget in FY25.

Illinois Higher Education Reception

On March 13, Governor J.B. Pritzker and Lieutenant Governor Julianna Stratton were the speakers at the annual Illinois Higher Education Reception before an audience of alumni and representatives of the 12 public universities.

Commission on Equitable Public University Funding

On March 1, 2024, the Commission on Equitable Public University Funding submitted recommendations to the General Assembly outlining an adequacy-based, equity-centered funding model to distribute state resources to public universities. On April 9, the Senate Higher Education Appropriations committee held a subject matter hearing on the recommendations. A subject matter hearing for the House is being scheduled for mid-April.

NIU Appropriations Hearings

President Lisa Freeman testified on behalf of NIU for the FY25 appropriations before the Senate Education Appropriations and House Higher Education Appropriations committees on April 10 and 11, respectively. Joining President Freeman in testifying were Executive Vice President and Provost, Laurie Elish Piper, Vice President for Administration and Finance and Chief Financial Officer, George Middlemist, and Senior Associate Vice President and Chief Human Resource Officer, John Acardo. President Freeman emphasized NIU's substantial impact on student lives, communities, and Illinois' economy. The testimony underscored NIU's dedication to providing a supportive environment for all students, particularly those historically underserved, to foster persistence and degree attainment.

FEDERAL RELATIONS REPORT

This report covers the period from January 2024 to April 2024.

Congressional Update

On March 6th, Trude Jacobsen Gidaszewski, Assistant Director, Center for Southeast Asian Studies, participated in the Coalition for International Education's advocacy day to highlight NIU's Center for Southeast Asian Studies and advocate for increased investments in international education and Title VI funding. Along with University of Chicago and University of Illinois, NIU met with staff from Senators Durbin and Duckworth, and Reps. Jonathan Jackson, Mike Quigley, and Nikki Budzinski's offices.

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Appropriations Update

In March, Congress reached an agreement to fund the government for FY24. Of programs of importance to NIU, the National Science Foundation received a 5% cut, NASA received a 6% cut and higher education campus-based programs received flat funding, which had been slated for elimination in earlier House appropriation bills. Federal Relations has been engaged with the Illinois congressional delegation to advocate for increases in these and other priority accounts for FY25.

In the FY24 budget, NIU received Congressional Directed Community Project Funding for the LEAP Program.

Biden Administration and Agency Update

FASFA- FASFA implementation issues continue to impact NIU and students. Federal Relations has been working with Financial Aid to understand the impact on students and families and is working with national associations to advocate for Congress and the Department of Education to address issues and allow for flexibilities when needed.

Gainful Employment- On March 29th, the Department of Education delayed the implementation of the gainful employment and financial value transparency regulations to October 1, 2024.

Title IX- The Biden Administrations proposed rule on Title IX is at the Office of Management and Budget, a critical step in the rule's finalization. A final rule is expected this spring. It is increasingly unlikely that the Biden Administration will release a rule on transgender student athlete's participation before the end of 2024.

Courts Update

Borrower Defense- A federal appeals court has ruled that the Administration's borrower defense rule, making it easier for defrauded borrowers to seek debt relief, has been rejected. The administration is expected to appeal this ruling to the Supreme Court.

SPONSORED PROGRAMS ADMINISTRATION QUARTERLY REPORT

In the third quarter of FY24, NIU was awarded 47 sponsored awards totaling \$7.2M, contributing to a cumulative activity for FY24 of 219 sponsored awards totaling \$28.5M. These awards were distributed as follows: \$13.5M for research, \$6.8M for instruction, and \$8.1M for other sponsored activities (OSA) (Figure 1). Noteworthy is the 17% increase in research funding compared to the same period last year. Funding for instruction is up slightly at 1% and OSA is currently down 51%. The continued delays in executing several large State of Illinois contracts to fund FY24 programs accounts for the current decline in OSA and SPA is working to execute these agreements as quickly as possible. Because the agreements fund FY24 programs, they will need to be executed this spring and therefore will be reported in the fourth quarter award totals. Based on current projections, NIU remains on target to meet or exceed FY23 sponsored funding levels (\$61M) with most of the funding from federal and state sources. (Figure 2).

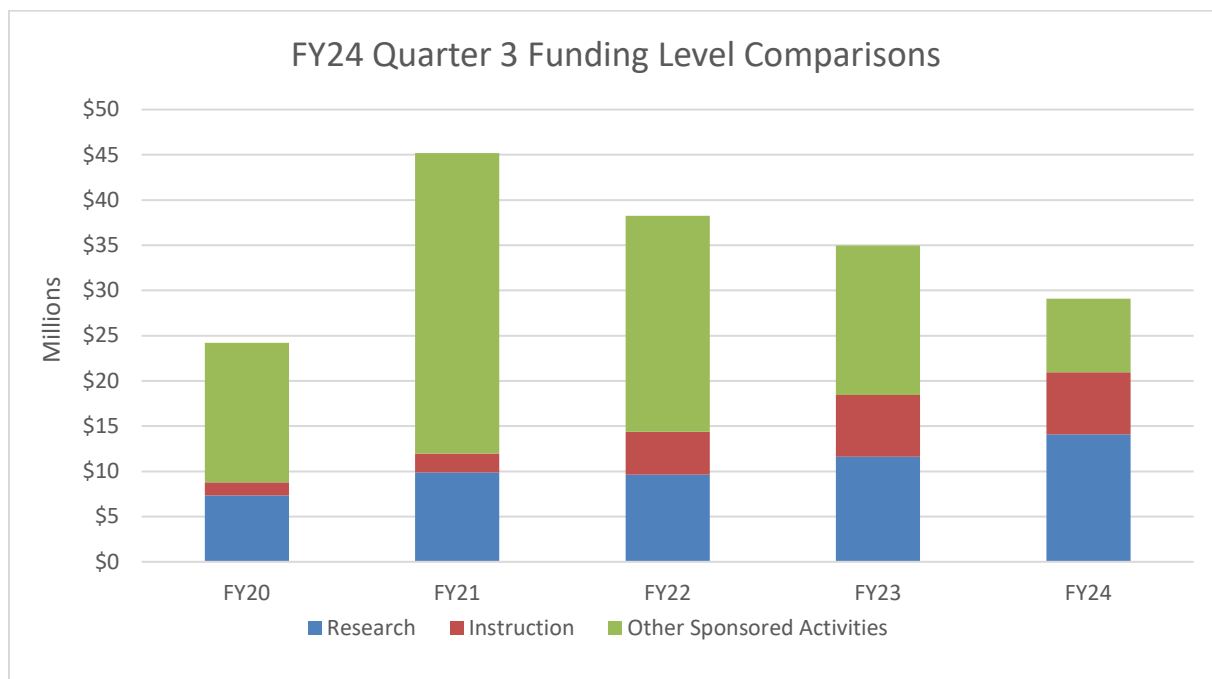


Figure 1

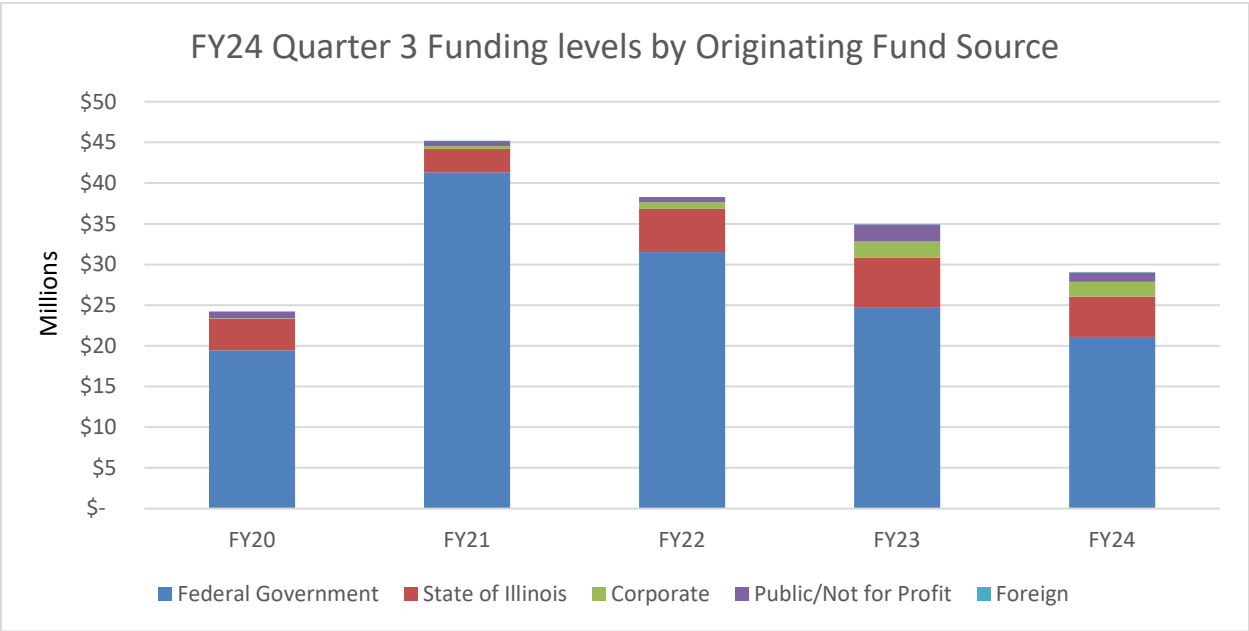


Figure 2

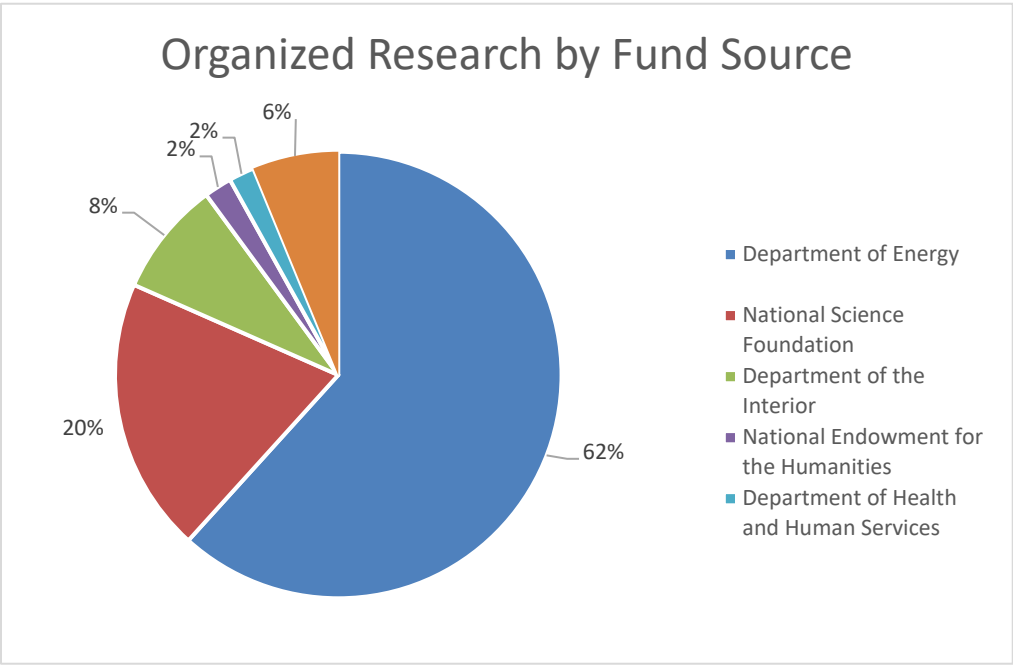


Figure 3
Other category includes agencies contributing 1%-2% of the total.
Amounts based on originating fund source.

Figure 3 displays funding for Organized Research this quarter, with most of the funding (\$1.8M) originating from the Department of Energy (DOE). DOE funding was primarily acquired through contracts from Fermi National Accelerator Laboratory (\$1M) and Argonne National Laboratory

(\$702K). The Department of Physics received several contracts from Argonne National Laboratory to fund ongoing faculty joint appointments along with awards from Fermi National Accelerator Laboratory to provide test beam support, particularly focusing on data acquisition software for the High Luminosity LHC CMS Detector Upgrade Project Endcap Calorimeter, and for the Mu2e project, for which NIU is responsible for designing, constructing, and integrating electronics systems for various detectors deployed for Mu2e. The Department of Chemistry and Biochemistry received three awards from Argonne National Laboratory (\$284K) for characterizing the structure of liquid electrolytes, synthesizing inorganic-organic hybrid

electrodes, measuring the structure of hybrid materials using X-ray techniques to understand the relationship between material structure and battery performance, and designing cycling-stable artificial interfaces through the creation of self-assembled monolayers (SAM) using fluorocarbon-based molecules. Additionally, the Department of Mechanical Engineering received \$16K for modeling support to advance the performance of next-generation batteries.

One award from the National Science Foundation (NSF) to the Department of Mechanical Engineering accounts for most of this quarter's NSF funding (\$507K). This award will support the modeling of thrombus formation and its response to external loads using multiscale modeling and machine learning techniques and represents another prestigious NSF Faculty Early Career Development (CAREER) award for NIU faculty this year.

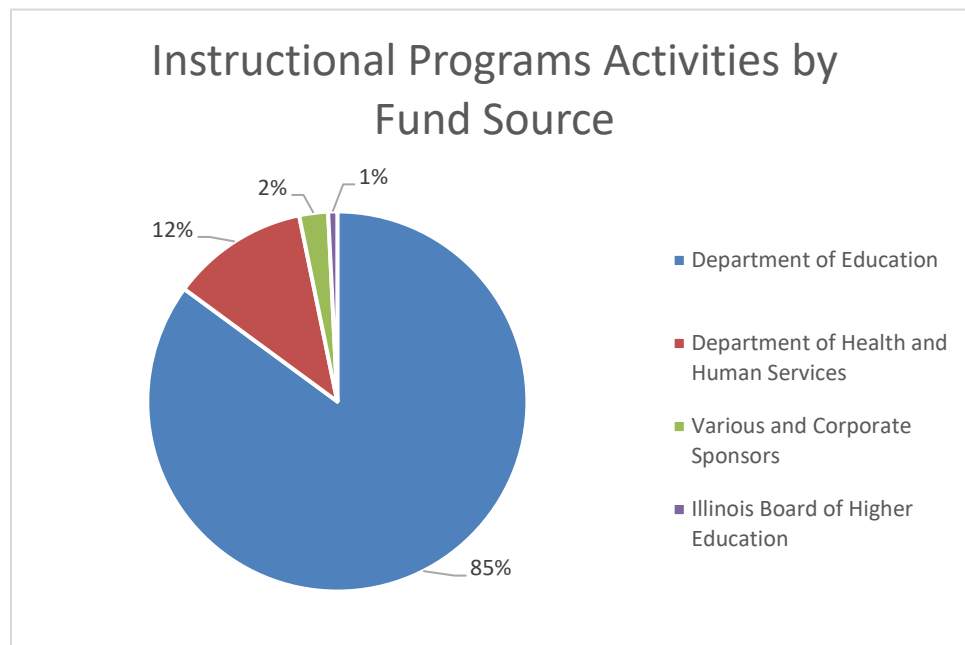


Figure 4
Amounts based on originating fund source.

Figure 4 illustrates the distribution of funding for Instructional Programs received this quarter. The majority of the funding was provided by the Department of Education (\$1.1M). This funding was allocated through the Department's Office of Elementary and Secondary Education to support an ongoing project for faculty in

the Department of Psychology (\$943K). The project aims to prepare 30 current educators to address significant needs in the Rockford and DeKalb, Illinois public schools through a 3-year training program that will result in Illinois licensure and national eligibility as school psychologists. Department of Education funding (\$150K) was also received to continue NIU's tradition of training vision rehabilitation therapists in the Department of Special and Early Education.

Other sources of funding included continuing support (\$150K) to the College of Health and Human Sciences (CHHS) through a subaward from the University of Illinois at Chicago (U.S. Department of Health and Human Services/Health Research Services Administration HRSA originating funder) to connect students to careers, professionals to communities, and communities to better health in the Northern Illinois region. Also in CHHS, the School of Nursing received \$10K from the Illinois Board of Higher Education for a "Global Immersion Project" (GIMP-NIU) to develop a team of nurses, both from NIU and India, to provide remote monitoring and patient education in the US using evidence-based healthcare practices. Remaining support is from a mix of corporate sponsors for various training programs offered through the Department of Industrial and Systems Engineering.

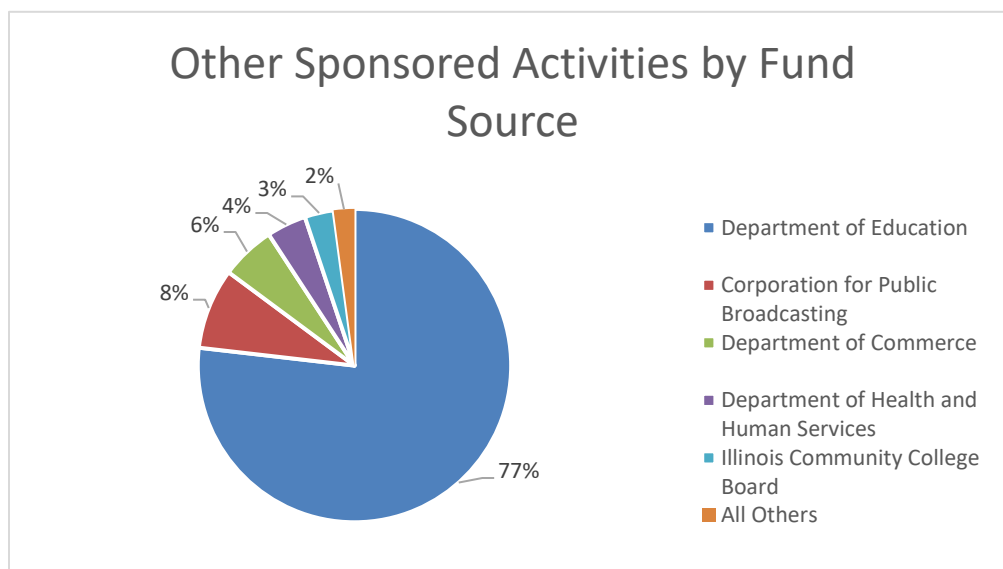


Figure 5
Other category includes agencies contributing less than 1% of the total.
Amounts based on originating funding source.

Most of the funding for Other Sponsored Activities (Figure 5) was provided by the Department of Education with support totaling \$2.2M. The College of Education received \$2.1M to support a project for the Rural Postsecondary Career and Economic

Development Grant, in collaboration with Highland Community College and the Regional Office of Education. The aim of the program is to establish career pathways leading to workforce development for rural underserved students in northwest Illinois. Additional funding from the Department of Education included \$150K through the Illinois State Board of Education to NIU's P-20 Center to provide after school and summer school programming to 6th-12th graders underrepresented in postsecondary, focusing on academics, career planning, SEL and family engagement by the Barb City STEAM Team.

The composition of sponsored funding across institutional units reflects the diversity of the award portfolio and an evolving funding landscape. As of this quarter several colleges and the university have experienced an increase in external funding over this time last year.

Other university units experienced a 651% increase in total funding (\$301K) due to the Illinois Board of Higher Education issuing grant funding for various services and support programs across university units including The Division of Student Affairs (\$171.5K), The Division of Enrollment Management, Marketing and Communications (\$89.8K), The Division of Human Resource Services (\$39.4K). The College of Engineering and Engineering Technology is currently up 63% because of organized research activities in the Departments of Electrical Engineering, Industrial and Systems Engineering, and Mechanical Engineering. The College of Education is up 32%, due to expanded or continuing instructional programs led by the college. The College of Health and Human Sciences is up 19% due to funding for pre-school and early childhood education programs. Of note, is that Outreach, Engagement & Regional Development (OERD) is currently down 74%; however, this is primarily due to delays previously mentioned in executing FY24 State of Illinois contracts and a spike in funding last year for a multi-year agreement to fund the Illinois Report Cards Program. Due to the wind down of COVID-19 relief funding (namely GEER), funding for other academic units is down 38%.

Table 1

College	Organized Research	Instructional Programs	Other Sponsored Activities	FY24 - (1st - 3rd) Qtr. Totals	FY23 - (1st - 3rd) Qtr. Totals	% Change
Business	-	\$1,025,441	-	\$1,025,441	\$1,432,109	-28%
Education	\$273,863	\$2,100,000	\$2,435,571	\$4,809,434	\$3,642,827	32%
Engineering & Engineering Technology	\$2,192,709	\$109,800	\$15,304	\$2,317,813	\$1,423,838	63%
Health and Human Sciences	\$310,202	\$1,793,368	\$874,753	\$2,978,323	\$2,512,472	19%
Law	-	-	-	-	\$181,969	-100%
Liberal Arts and Sciences	\$8,142,973	\$1,693,661	\$2,082,313	\$11,918,947	\$11,333,152	5%
Visual and Performing Arts	-	-	\$10,600	\$10,600	\$20,111	-47%
Outreach, Engagement & Regional Dev.	\$157,500	-	\$2,432,612	\$2,590,112	\$10,129,983	-74%
Other Academic Units	\$2,467,472	\$141,046	-	\$2,608,518	\$4,239,481	-38%
Other University Units	\$1,000	-	\$300,746	\$301,746	\$40,200	651%
Total	\$13,545,719	\$6,863,316	\$8,151,899	\$28,560,935	\$34,956,142	-18%

A full listing of all sponsored awards received for the second quarter follows.

BIOLOGICAL SCIENCES

Illinois Department of Natural Resources for "Evaluating the Impacts of Trematodiasis on Lesser Scaup Migration and Breeding Efforts." Jennifer A Koop.

Award: \$248,552.00.

July 1, 2023 - June 30, 2025. (Organized Research) Applied Research.

This project seeks to assess the impact of invasive snails and resulting trematode parasite infections on Lesser Scaup hen migration and breeding success in the Mississippi Flyway to inform future management of Lesser Scaup populations.

CENTER FOR THE STUDY OF FAMILY VIOLENCE AND SEXUAL ASSAULT

Winnebago County for "Winnebago County Drug Court Evaluation Project." Julie L Crouch.

Award: \$55,251.94.

September 30, 2023 - September 29, 2024. (Organized Research) Testing/Evaluation.

Design and oversee implementation of evaluation activities that support WCDC program development and evaluation of the program goals and objectives.

CHEMISTRY AND BIOCHEMISTRY

Argonne National Laboratory for "Center for Steel Electrification by Electrosynthesis." Tao Li.

Award: \$176,761.75

January 22, 2024 - January 21, 2025. (Organized Research) Applied Research.

Our project uses SAXS/WAXS to characterize liquid electrolytes' structure, including conventional organic electrolytes, high-concentration electrolytes, and redox-active electrolytes.

Argonne National Laboratory for "Studying the Solvation Structures of Sodium Batteries." Tao Li.

Award: \$85,680.00.

February 1, 2024 - January 31, 2025. (Organized Research) Basic Research.

The objective of this work includes: synthesis of inorganic-organic hybrid electrode; measurement of the structure of the hybrid material with X-ray technique; and understanding the relationship of structure of materials and battery performance.

Argonne National Laboratory for "Electrode Surface Protection by Self-Assembled Monolayers (SAM) for Lithium Battery FY23." Tao Xu.

Award: \$22,411.46. Cumulative \$56,028.46.

January 31, 2024 - August 31, 2024. (Organized Research) Applied Research.

The goal is to achieve cycling stable artificial interfaces through the design of self-assembled monolayers (SAM) using fluorocarbon based molecules.

COLLEGE OF EDUCATION

Office of Postsecondary Education/Department of Education for "Room to Grow: Northwestern Illinois Workforce Development." Jason P Klein.

Award: \$2,105,846.00.

January 1, 2024 - December 31, 2027. (Other Sponsored Activities) Public Service.

Rural Postsecondary Career and Economic Development grant with Highland Community College and Regional Office of Education to create career pathways that lead to workforce development for our rural underserved students in northwest IL.

COLLEGE OF HEALTH AND HUMAN SCIENCES

University of Illinois at Chicago for "Northeast Illinois AHEC." Beverly W Henry/Kelly A Fiala.

Award: \$150,168.00. Cumulative \$300,299.00.

September 1, 2023 - August 31, 2024. (Instructional Programs) Instructional Programs.

This project will connect students to careers, professionals to communities, and communities to better health in the Northern IL region.

CENTER FOR CHILD WELFARE AND EDUCATION

Illinois Department of Children and Family Services for "FY24 Permanency Enhancement Program." Yasmina Sefiane.

Award: \$120,000.00.

July 1, 2023 - June 30, 2024. (Other Sponsored Activities) Public Service.

This project is looking to ensure the rights of youth in care around issues of representation, with the PEP Program focused on permanency and disproportionality of African American youth.

DEPARTMENT OF EARTH, ATMOSPHERE AND ENVIRONMENT

Columbia University for "Sediment and Pore Water Chemistry Along the Eastern Greenland-margin from IODP Site U1602." Justin P Dodd.

Award: \$10,999.18.

January 1, 2024 - December 31, 2024. (Organized Research) Basic Research.

This proposal supports the Post-Expedition Research for Justin Dodd's participation in IODP Expedition 395: Sediment and pore water chemistry in the eastern Greenland margin.

EDUCATION SYSTEMS CENTER

Illinois Central College for "Ill Central College IT Accelerator." Heather M Penczak.

Award: \$76,125.00.

September 1, 2022 - May 31, 2024. (Other Sponsored Activities) Public Service.

These funds will be used to carry out a regional workforce development initiative for the EDA Jobs Challenge.

Northwestern University for "EC REACH." Theresa A Hawley/Serah S Fatani.

Award: \$26,341.00.

June 1, 2023 - May 31, 2024. (Other Sponsored Activities) Public Service.

The primary objective of this collaboration is to facilitate seamless data access from CECIDS to EC-REACH, enabling effective research and analysis to improve early childhood education outcomes.

ELECTRICAL ENGINEERING

Jet Propulsion Laboratory/NASA for "Improving NIU-JPL Method to Perform Surveys for Methane Gas Leaks Using Unmanned Aerial Vehicles." Benedito J Fonseca/Mansour Tahernezahdi.

Award: \$1,345.91. Cumulative \$60,646.41.

July 2, 2023 - March 31, 2024. (Organized Research) Applied Research.

This project will fund a master student as part of the Engineering-In-Residence (EIR) program of NIU to the Jet Propulsion Laboratory at NASA to use machine learning to develop natural gas upwind survey regions.

ENGLISH

National Endowment for the Humanities/National Foundation on the Arts and Humanities for "Dubiously Donne: Attribution and Literary Reputation in Early Modern England." Lara M Crowley.

Award: \$60,000.00.

August 1, 2024 - July 31, 2025. (Organized Research) Applied Research.

Dubiously Donne: Attribution and Literary Reputation in Early Modern England explores early attitudes toward authorial identification through analyzing texts misattributed to writers such as John Donne in seventeenth-century handwritten manuscripts.

INDUSTRIAL AND SYSTEMS ENGINEERING

Various and Corporate Sponsors for "Lean Six Sigma Workshops." Gary Chen.

Award: \$14,000.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Various and Corporate Sponsors for "Lean Six Sigma Workshops." Gary Chen.

Award: \$17,500.00.

July 1, 2023 - June 30, 2024. (Instructional Programs) Instructional Programs.

Triangle Package Machinery Company for "Improving Facilities Design and Operations." Niechen Chen/Purushothaman Damodaran.

Award: \$49,643.43. Cumulative \$140,766.40.

February 16, 2024 - February 15, 2025. (Organized Research) Applied Research.

The project aims at improving the assembly, storage and fabrication of packaging machinery.

Trigo Group for "Improving Repackaging Operations for Trigo." Purushothaman Damodaran.

Award: \$54,999.00.

February 16, 2024 - December 15, 2024. (Organized Research) Applied Research.

The main objective of this project is to improve overall operations involved in the repackaging operation, so the material received can efficiently flow through the facility with minimum material handling.

DHL for "Dock Management." Purushothaman Damodaran/Christine V Nguyen.

Award: \$34,389.41. Cumulative \$118,510.54.

January 1, 2024 - May 15, 2024. (Organized Research) Applied Research.

The project under study is to review the current dock management process at DHL's terminals and propose solutions to modernize it.

University of Houston for "Development of Equipment Rental Schedule for Illinois." Ziteng Wang.

Award: \$34,985.00.

July 1, 2023 - June 30, 2024. (Organized Research) Applied Research.

The objective of this study is to develop a user-friendly, web-based equipment rate schedule maintained by IDOT.

MECHANICAL ENGINEERING

National Science Foundation/NSF for "CAREER: Multiscale Modeling of Thrombus Formation and its Response to External Loads." Jifu Tan.

Award: \$506,803.00.

September 1, 2024 - August 31, 2029. (Organized Research) Applied Research.

The purpose of the project is to model thrombus formation and its response to external loads using multiscale modeling and machine learning techniques.

Argonne National Laboratory for "Modeling Support to Advance the Performance of Next-generation Batteries at Argonne - GRA Support." Kyu Taek Cho.

Award: \$16,723.76.

August 16, 2023 - August 15, 2024. (Organized Research) Applied Research.

Modeling Support to Advance the Performance of Next-generation Batteries at Argonne.

NORTHERN PUBLIC RADIO

Corporation for Public Broadcasting for "2024 Community Service Grant." Staci Hoste.

Award: \$244,649.00.

October 1, 2023 - September 30, 2025. (Other Sponsored Activities) Public Service.

Funds are used to support high quality public programming.

Illinois Arts Council for "WNIU Basic Grant." Staci Hoste.

Award: \$10,362.00.

February 15, 2024 - February 14, 2025. (Other Sponsored Activities) Public Service.

Funds are used for high quality public radio programming.

Illinois Arts Council for "WNIJ Basic Grant." Staci Hoste.

Award: \$10,362.00.

February 15, 2024 - February 14, 2025. (Other Sponsored Activities) Public Service.

Funds are used for high quality public radio programming.

NURSING

Illinois Board of Higher Education for "IBHE Nurse Educator Fellowship." Alyse D Flury.

Award: \$10,000.00.

January 11, 2024 - June 30, 2024. (Organized Research) Applied Research.

I would further my professional and leadership development. I would apply funds to my annual fees for my professional nursing membership. I would write a manuscript and enhance my undergraduate psychiatric mental health nursing course.

Illinois Board of Higher Education for "Global Immersion Program for Nursing." Anitha Saravanan.

Award: \$10,000.00.

January 11, 2024 - June 30, 2024. (Instructional Programs) Instructional Programs.

The "Global Immersion Project" (GIMP-NIU) is a remote specialist program aimed to develop a team of nurses, both from NIU and from India who will provide remote monitoring and patient education in the US by using evidence-based health care.

P-20 CENTER

Illinois Central College for "Illinois Central College IT Accelerator." Kristin A Brynteson.

Award: \$89,250.00.

September 1, 2022 - May 31, 2025. (Other Sponsored Activities) Public Service.

These funds will be used to carry out a regional workforce development initiative for the EDA Jobs Challenge.

Illinois State Board of Education for "Nita M. Lowey Twenty-First Century Community Learning Centers." Kristin A Brynteson/Jasmine L Carey.

Award: \$150,000.00. Cumulative \$450,000.00.

July 1, 2023 - August 31, 2024. (Other Sponsored Activities) Public Service.

Barb City STEAM Team provides afterschool and summer school programming to 6th-12th graders underrepresented in postsecondary, focusing on academics, career planning, SEL and family engagement.

PHYSICS

Argonne National Laboratory for "University to Argonne Joint Appointment - Andreas Glatz." Andreas Glatz.

Award: \$51,728.04. Cumulative \$119,443.04.

May 16, 2023 - May 15, 2024. (Organized Research) IPA or Joint Appointment.

The purpose of these funds is to support a joint appointment for Dr. Andreas Glatz with Argonne National Laboratory.

Fermi National Accelerator Laboratory for "Development of the MU2E Design." David R Hedin/Vishnu V Zutshi.

Award: \$146,490.00. Cumulative \$219,735.00.

January 1, 2024 - December 31, 2024. (Organized Research) Applied Research.

The purpose of this funding is to support the Mu2e project which is responsible for the design, construction, and integration of electronics systems for the various detectors deployed for Mu2e.

Argonne National Laboratory for "Mossbauer Spectroscopy of Fe-Based Sodium-Ion Battery Cathodes." Dennis E Brown.

Award: \$21,546.00.

February 5, 2024 - February 4, 2025. (Organized Research) Basic Research.

Mossbauer Spectroscopy of Fe-Based Sodium-Ion Battery Cathodes - GRA Support.

State University of New York at Stony Brook for "US ATLAS Operations." Jahred A Adelman.

Award: \$77,058.33. Cumulative \$538,175.33.

February 1, 2022 - January 31, 2027. (Organized Research) Applied Research.

Funds are requested to develop and maintain the ATLAS calibration database and related software.

Argonne National Laboratory for "Structural Investigations of Advanced Functional Materials using Neutron and X-ray Scattering." Omar H Chmaissem.

Award: \$47,301.00. Cumulative \$88,647.87.

October 1, 2023 - September 30, 2024. (Organized Research) Basic Research.

This project is to support the research and supervision activities of NIU's Professor Omar Chmaissem within the Neutron and X-ray Scattering (NXRS) Group of the Materials Science Division.

Fermi National Accelerator Laboratory for "Accelerator Design for PIP-II Era Accumulator Rings." Philippe Piot.

Award: \$30,145.50.

July 20, 2023 - April 20, 2024. (Organized Research) Applied Research.

Funds are used to support graduate student assistant Ben Simons for work on the Accelerator Design for PIP-II-era Accumulator Ring project at Fermi.

Argonne National Laboratory for "GRA Support - Emily Frame." Philippe Piot.

Award: \$23,436.00.

February 1, 2024 - January 31, 2025. (Organized Research) Basic Research.

GRA Support - Emily Frame.

RadiaSoft LLC for "Improved Simulation Tools for Optimizing Structure-Based Beam Acceleration and Manipulation." Philippe Piot.

Award: \$30,500.00.

July 10, 2023 – July 9, 2024. (Organized Research) Applied Research.

This project will develop machine-learning techniques to rapidly evaluate the electromagnetic wakefield produced by electron bunches.

Argonne National Laboratory for "University to Argonne Joint Appointment." Philippe Piot.

Award: \$91,954.36. Cumulative \$179,530.36.

May 16, 2023 - May 15, 2024. (Organized Research) IPA or Joint Appointment.

The purpose of these funds is to support a joint appointment for Dr. Philippe Piot with Argonne National Laboratory.

Fermi National Accelerator Laboratory for "High Luminosity (HL) LHC CMS Detector Upgrade Project Endcap Calorimeter." Vishnu V Zutshi/Iman Salehinia/Nicholas A Pohlman.

Award: \$845,094.00. Cumulative \$1,999,045.00.

January 1, 2024 - December 31, 2025. (Organized Research) Applied Research.

NIU will provide test beam support especially in the area of data acquisition software on the High Luminosity LHC CMS Detector Upgrade Project Endcap Calorimeter.

Office of Science/Department of Energy for "Experimental Understanding of Collective Beam Dynamics Guided by Fully Self-consistent Simulation Models." Xueying Lu.

Award: \$90,000.00.

August 1, 2023 - July 31, 2024. (Organized Research) Applied Research.

We propose an experimental study of the coherent synchrotron radiation (CSR) at the Argonne Wakefield Accelerator facilities and benchmarking with advanced simulation codes.

Argonne National Laboratory for "University to Argonne Joint Appointment - Xueying Lu." Xueying Lu.

Award: \$74,606.00. Cumulative \$166,442.44.

August 16, 2023 - May 15, 2024. (Organized Research) IPA or Joint Appointment.

The purpose of these funds is to support a joint appointment for Dr. Xueying Lu with Argonne National Laboratory.

Argonne National Laboratory for "Transmission Electron Microscopy Characterization of Coated Cathode Materials." Yasuo Ito.

Award: \$70,340.00.

January 1, 2024 - December 31, 2024. (Organized Research) Basic Research.

Transmission electron microscopy characterization of coated cathode materials.

Argonne National Laboratory for "Physics & Application of Patterned Superconducting Nanostructures - GRA Support." Zhili Xiao.

Award: \$19,977.15. Cumulative \$66,108.27.

January 1, 2024 - May 14, 2024. (Organized Research) Applied Research.

Funds will support a NIU graduate research assistant to develop, fabricate, and characterize superconducting nanowire detectors.

PSYCHOLOGY

Office of Elementary and Secondary Education/Department of Education for "Preparing Educators as School Psychologists in Northern Illinois (PESP-NI)." Christine E Malecki/Julia A Ogg/Michelle Demaray.

Award: \$943,661.00. Cumulative \$1,905,290.00.

January 1, 2024 - December 31, 2024. (Instructional Programs) Instructional Programs.

This five-year project will prepare 30 current educators to meet significant needs in the Rockford and DeKalb, Illinois public schools, through a 3-year training program resulting in Illinois licensure and national eligibility as School Psychologists.

Southern Smoke Foundation for "Southern Smoke Foundation for the PSC. It is for the provision of therapy and supervision services for food and beverage workers within the state of Illinois." Danielle M Baran.

Award: \$15,443.00.

July 1, 2023 - June 30, 2024. (Other Sponsored Activities) Other.

Psi Chi: The National Honor Society in Psychology for "Race-Based Stressors and Psychological Outcomes: The Moderating Role of Parental Racial Socialization." Jessica S Philip/Laura D Pittman.

Award: \$300.00.

September 1, 2023 - June 30, 2024. (Organized Research) Applied Research.

The study examines parental racial/ethnic socialization messages (i.e., cultural socialization, preparation for bias, promotion of mistrust, pluralism) as potential moderators for the link between race-based stressors and psychological symptoms.

SCHOOL OF INTERDISCIPLINARY HEALTH PROFESSIONS

Illinois Community College Board for "Huskies BELONG." Bryan K Dallas/Amy Jo Clemens.

Award: \$88,000.00.

January 1, 2024 - December 31, 2024. (Other Sponsored Activities) Public Service.

Twenty college bound high school students with disabilities will attend a 5-day camp at NIU. Workshops will focus on setting them up for success in college and subsequent employment.

SPECIAL AND EARLY EDUCATION

Office of Special Education and Rehabilitative Services/Department of Education for "Preparation of Vision Rehabilitation Therapists and Orientation & Mobility Specialists to Prepare Individuals with Visual Disabilities for the 21st Century Workplace." Stacy M Kelly.

Award: \$150,000.00. Cumulative \$850,000.55.

October 1, 2024 - September 30, 2025. (Instructional Programs) Instructional Programs.

The purpose of the project is to train vision rehabilitation therapists (VRT) and orientation and mobility (O&M) instructors to provide services for adults and transition-aged adults with visual disabilities.

FACULTY PRESENTATION

Dr. David Gunkel, Distinguished Teaching Professor, Media Studies from the Department of Communication in the College of Liberal Arts and Sciences will provide a verbal presentation on artificial intelligence (AI).

QUARTERLY FINANCIAL SUMMARY FISCAL YEAR 2024 THIRD QUARTER AS OF MARCH 31, 2024

Background: With the March 31 conclusion of the third quarter of FY24, the University is now able to update the Board of Trustees on NIU's financial performance relative to the current year budget and prior year actuals.

All Funds Financial Summary

For the third quarter, the All Funds Financial Summary shows revenues mostly in line with budget and expenditures in excess of budget, resulting in net revenue of \$33M. FY24 net revenue for the third quarter was \$23.5M less than FY23 despite improved revenues of \$13.9M. Similar to the second quarter, increases in spending on personnel, debt service and contractual services in FY24 drove the variance to the prior year. Current trends suggest a full year of activity will produce an improved deficit (\$32.2M) compared to budget (\$33.6M). This better-than-budget projected net revenue results from higher investment income driven by continued favorable interest rate trends.

Recurring Financial Summary

Through the third quarter of the fiscal year, recurring performance is projected to exceed budget in total revenues (\$6.3M improvement) and expenses (\$2.3M overspend), netting to a projected reduction of the expected deficit of \$4M. Primary drivers of this outlook include:

- Miscellaneous & Investment Income is projected to exceed budget because of the current elevated interest rates. NIU's cash holdings resulted in interest and investment earnings that already meet budget expectations (\$1.5M) and are projected to exceed budget by \$4M over the full fiscal year.
- Personnel Services is trending within 1% of budget.

Non-Recurring Financial Summary

The Non-Recurring Financial Summary reports net revenue consistent with budget. Full-year projections suggest revenues may fall below expectations (\$2.6M) while expenses should be mostly in line with budget.

In addition to presenting multiple views of FY24 financial performance, this financial summary also provides an update on the FY24 budget itself, which changed very slightly (\$67K) over the third fiscal quarter.

The final quarterly financial summary for FY24, the full-year financial summary, is planned for November FACFO, consistent with past practice.

In addition to the financial summary tables that follow, this document concludes with a description of each revenue and expense category used in the tables and an explanation of the intent and nature of each included financial table.

Northern Illinois University
as of March 31, 2024
All Funds Financial Summary
(\$ in thousands)

	Current Year FY24			Prior Year FY23		Current Year FY24		
	YTD Actuals	YTD Budget	Variance	YTD Actuals	Variance	YTD Forecast	Annual Budget	Variance
Revenue								
Tuition & Student Fees	\$ 161,486	\$ 161,592	\$ (107)	\$ 159,923	\$ 1,563	\$ 165,162	\$ 164,323	\$ 839
Gifts, Grants, & Contracts - Financial Aid	29,254	26,512	2,742	29,254	-	28,850	28,850	-
Gifts, Grants, & Contracts - Other	5,585	6,657	(1,072)	5,266	319	6,883	7,243	(360)
Other Fees and Fines	5,119	4,530	589	4,833	287	6,738	5,544	1,194
Sales	29,821	32,356	(2,535)	27,158	2,664	38,151	39,958	(1,807)
Rental & Room Income	35,099	36,051	(952)	33,816	1,283	36,375	37,360	(985)
Gate Receipts & Commissions	3,081	3,934	(853)	3,466	(385)	3,624	4,636	(1,012)
Miscellaneous & Investment Income	10,376	9,030	1,346	12,191	(1,814)	20,346	14,891	5,455
State of Illinois	98,641	98,648	(7)	88,641	10,000	98,648	98,648	-
Total Revenue	\$ 378,462	\$ 379,310	\$ (848)	\$ 364,547	\$ 13,916	\$ 404,778	\$ 401,453	\$ 3,325
Expenses								
Cost of Sales	\$ 4,357	\$ 4,948	\$ (591)	\$ 4,004	\$ 354	\$ 5,886	\$ 6,800	\$ (913)
Personnel Services	179,988	173,758	6,229	159,794	20,194	235,758	233,567	2,191
Contractual Services	58,559	56,911	1,648	55,663	2,896	82,127	80,986	1,142
Commodities	4,381	4,745	(364)	3,792	588	6,412	7,167	(755)
Travel	4,357	3,482	875	2,914	1,442	5,455	4,789	666
Automotive	510	716	(206)	554	(44)	629	842	(213)
Scholarships - Financial Aid	30,624	28,507	2,117	30,624	-	28,850	28,850	-
Scholarships - Other	36,346	37,384	(1,038)	34,963	1,383	36,821	37,833	(1,013)
Telecommunications	249	363	(115)	270	(21)	363	590	(227)
Equipment & Library Materials	5,685	4,536	1,149	5,192	493	8,626	8,939	(313)
Capital Repairs	1,736	1,045	691	1,294	442	3,533	2,621	911
Debt Service	18,240	18,240	-	8,586	9,654	22,058	22,058	-
Miscellaneous Expense	428	4	424	428	0	450	4	446
Total Expenses	\$ 345,459	\$ 334,639	\$ 10,820	\$ 308,078	\$ 37,381	\$ 436,966	\$ 435,045	\$ 1,921
Net Revenue	\$ 33,003	\$ 44,671	\$ (11,668)	\$ 56,468	\$ (23,465)	\$ (32,188)	\$ (33,592)	\$ 1,403

Northern Illinois University
as of March 31, 2024
Recurring Financial Summary
(\$ in thousands)

	Current Year FY24			Full Year FY24		
	YTD Actuals	YTD Budget	Variance	YTD Forecast	Annual Budget	Variance
Revenue						
Tuition & Student Fees	\$ 161,486	\$ 161,592	\$ (107)	\$ 165,162	\$ 164,323	\$ 839
Gifts, Grants, & Contracts - Financial Aid	29,254	26,512	2,742	28,850	28,850	-
Gifts, Grants, & Contracts - Other	4,658	5,393	(735)	6,846	5,868	977
Other Fees and Fines	5,014	4,456	558	6,622	5,454	1,168
Sales	23,026	26,847	(3,822)	30,937	33,156	(2,218)
Rental & Room Income	35,099	36,051	(952)	36,375	37,360	(985)
Gate Receipts & Commissions	2,893	3,755	(862)	3,423	4,426	(1,003)
Miscellaneous & Investment Income	10,318	7,699	2,619	20,251	12,696	7,556
State of Illinois	98,641	98,648	(7)	98,648	98,648	-
Total Revenue	\$ 370,389	\$ 370,954	\$ (565)	\$ 397,114	\$ 390,779	\$ 6,335
Expenses						
Cost of Sales	\$ 4,297	\$ 4,948	\$ (652)	\$ 5,886	\$ 6,800	\$ (913)
Personnel Services	176,228	171,567	4,661	232,914	230,622	2,292
Contractual Services	56,989	55,597	1,392	80,449	79,117	1,332
Commodities	4,206	4,613	(407)	6,224	6,968	(743)
Travel	4,357	3,316	1,041	5,184	4,561	623
Automotive	506	716	(210)	629	842	(213)
Scholarships - Financial Aid	30,624	28,507	2,117	28,850	28,850	-
Scholarships - Other	36,346	37,384	(1,038)	36,821	37,833	(1,012)
Telecommunications	212	361	(150)	362	586	(224)
Equipment & Library Materials	5,685	3,772	1,913	7,222	7,432	(210)
Capital Repairs	1,736	1,045	691	3,533	2,621	911
Debt Service	18,240	18,240	-	22,058	22,058	-
Miscellaneous Expense	428	4	424	450	4	446
Total Expenses	\$ 339,854	\$ 330,070	\$ 9,784	\$ 430,582	\$ 428,294	\$ 2,288
Net Revenue	\$ 30,535	\$ 40,884	\$ (10,349)	\$ (33,468)	\$ (37,514)	\$ 4,046

Northern Illinois University
as of March 31, 2024
Non-Recurring Financial Summary
(\$ in thousands)

	Current Year FY24			Full Year FY24		
	YTD Actuals	YTD Budget	Variance	YTD Forecast	Annual Budget	Variance
Revenue						
Tuition & Student Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Gifts, Grants, & Contracts - Financial Aid	-	-	-	-	-	-
Gifts, Grants, & Contracts - Other	927	1,264	(337)	37	1,375	(1,338)
Other Fees and Fines	105	74	31	116	90	26
Sales	6,796	5,508	1,287	7,214	6,803	411
Rental & Room Income	-	-	-	-	-	-
Gate Receipts & Commissions	188	179	9	202	211	(9)
Miscellaneous & Investment Income	58	1,331	(1,273)	95	2,195	(2,101)
State of Illinois	-	-	-	-	-	-
Total Revenue	\$ 8,073	\$ 8,356	\$ (282)	\$ 7,664	\$ 10,674	\$ (3,010)
Expenses						
Cost of Sales	\$ 61	\$ -	\$ 61	\$ -	\$ -	\$ -
Personnel Services	3,759	2,191	1,568	2,844	2,945	(102)
Contractual Services	1,570	1,313	257	1,679	1,869	(190)
Commodities	175	132	43	187	199	(11)
Travel	-	166	(166)	271	228	43
Automotive	4	-	4	-	-	-
Scholarships - Financial Aid	-	-	-	-	-	-
Scholarships - Other	-	0	(0)	-	0	(0)
Telecommunications	37	2	35	0	3	(3)
Equipment & Library Materials	-	765	(765)	1,403	1,506	(103)
Capital Repairs	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-
Miscellaneous Expense	-	-	-	-	-	-
Total Expenses	\$ 5,606	\$ 4,569	\$ 1,037	\$ 6,384	\$ 6,751	\$ (367)
Net Revenue	\$ 2,468	\$ 3,787	\$ (1,319)	\$ 1,280	\$ 3,923	\$ (2,643)

For reference, definitions and data associated with each table presented above are described in detail below.

Revenues categories

1. **Tuition and Student Fees:** All tuition, including differential tuition, course and materials fees, athletics fees, bus fees, and health service fees.
2. **Gifts, Grants, and Contracts:** Includes grant revenue to support financial aid programs, like Pell Grants and AIM High awards.
3. **Other Fees and Fines:** Includes parking fees, residential living-learning community fees, parking fines, and transcript and commencement fees.
4. **Sales:** Includes board plan and retail food sales, concessions sales, advertising/sponsorship, sales of services to off-campus entities (including, but not limited to catering, childcare, and conference services).
5. **Rental and Room Income:** Includes room fees for residential students, rental of on-campus and off-campus meeting and conference space, hotel revenues, and Northern View apartment revenues.
6. **Gate Receipts and Commissions:** Includes athletic ticket sales, performing arts ticket sales, commissions, and concert/event revenues from the Convocation Center.
7. **Miscellaneous and Investment Income:** Includes athletics' game guarantees, NIU share of conference tournament revenues, rental of facilities, and interest income from investments, gains and losses on disposals of fixed assets, and year-end transfers to mandated reserves in the auxiliary facilities system.
8. **State of Illinois:** Represents the annual state appropriation to public universities.

Expenses categories

1. **Cost of Sales:** Includes the cost of all items purchased for resale on campus such as food for dining units, parts and supplies to be charged out through the campus work order system, as well as paper for pay-to-print copiers and printers.
2. **Personnel Services:** Includes all salaries paid to faculty, supportive professional staff, civil service, graduate assistants, extra help, and student employees. Also includes required payments for Medicare and employee health insurance.
3. **Contractual Services:** Includes annual software support, subscriptions, conference registrations, non-employee travel reimbursements, service contracts, repairs and maintenance, grounds, building service work charges, speaking fees, and other arrangements with outside contractors to perform services for the University.
4. **Commodities:** Includes purchased items of a low cost that typically are consumed in a single year. Examples include paper for printing, lab supplies, napkins, and cleaning supplies.
5. **Travel:** Includes airfare, hotel rooms, mileage, meals (all up to limits set by the state and the University), and ancillary expenses for employees to travel to-and-from campus on official University business.
6. **Automotive:** Includes the cost to rent cars, vans, and buses from the University transportation department.

7. **Scholarships:** Includes scholarships awarded, including Pell Grant funds disbursed (which, when netted against Pell Grant funds received, results in zero effect on the University's net revenue).
8. **Telecommunications:** Includes the cost of providing phone, internet, and other digital services to the University.
9. **Equipment and Library Books:** Includes the cost of all items over \$100 with an estimated useful life of two years or more, as well as library books, journal subscriptions, manuscripts, films, music, and video materials.
10. **Capital Repairs:** Includes the cost of centralized capital repair activities.
11. **Debt Service:** Includes debt service payment on bonds, certificates of participation, and performance contracts.
12. **Miscellaneous Expense:** Includes refunds issued for on-campus external programming, deposit refunds, and other expenses not classified elsewhere.

All Funds Financial Summary: This table is similar to the primary table presented in past quarterly financial summary reports. It is a “roll-up” of the “recurring” and “non-recurring” budgets and activities. Activity not reported in this table or any of its component tables includes sponsored research revenue and expenses, agency funds that are not formally revenue or expenses of the University, and internal service-type operations. The table includes a summary of FY24 activity through March 31 compared to a year-to-date budget (pro-rated based on recent-year history), a summary of third quarter activity from the prior fiscal year adjusted to a similar All Funds basis, and a comparison to the FY24 budget, including a full-year projection of performance based on the pace of revenues and spending throughout the third quarter of FY24.

Recurring Financial Summary: This table focuses on regular, ongoing revenues and expenses of the University. The Recurring Financial Summary includes grant-supported financial aid programs, like Pell grants. It identifies ongoing and normal revenues and expenses of the University. To identify these revenues and expenses, the Office of the Budget and Financial Planning structured a multi-step process to leverage the expertise of more than one dozen NIU senior business managers possessing detailed knowledge of unit-level revenues and spending. The multi-week analysis resulted in data reflecting each budgeted fund of the University and each revenue and expense category that is presented in the above tables. In total, 97.6% of non-grants budgeted revenues in FY24 and 98.2% of non-grants budgeted expenses were identified as recurring. Examples of recurring revenues include Tuition & Fees, Rental & Room Income, and the State of Illinois appropriation to support NIU operations. Examples of recurring expenses include 98.8% of Personnel Services spend, Scholarships expense, and Debt Service.

The intent of the Recurring Financial Summary is to create a budget framework capable of anchoring multi-year planning and budgeting. Through this focus on recurring revenues and expenses, a forward-looking understanding of resources and commitments may be leveraged for multi-year planning purposes.

Non-Recurring Financial Summary: The Non-Recurring Financial Summary identifies unpredictable or temporary revenues and expenses of the University. This non-recurring view of the budget was compiled using a similar approach and data to the recurring budget information. In total, 2.4% of non-grants budgeted revenues in FY24 and 1.8% of non-grants budgeted expenses are designated as non-recurring. Examples of non-recurring revenues include 33% of Gifts, Grants, & Contracts revenue and 23.5% of Sales income. Examples of non-recurring expenses include 25.1% of Equipment & Library Materials and 5.8% of Travel. The intent of the Non-Recurring

Financial Summary is to identify temporary resources that may be available for strategic investment and to identify short-term expenses that may create strain on recurring or non-recurring resources.

PERIODIC REPORT OF CASH AND INVESTMENTS FOR PERIOD ENDING MARCH 31, 2024

In accordance with the University's Investment and Cash Management policy, this report on cash and investments is submitted at the end of each calendar quarter to the Board of Trustees. This report is required by *Board of Trustees Regulations (Section V, Subsection D)*. The following schedules are included:

- Cash and Investment Holdings Summary as of March 31, 2024
- Investment Earnings for the quarter ended March 31, 2024

The Investment Advisory Group is responsible for monitoring compliance with the University's Investment and Cash Management Policy. The investment goals, as stated in the policy, are to insure the preservation of principal and maintain compliance with applicable state laws, rules, regulations, debt covenants and *Board of Trustees Regulations* while meeting cash flow needs and earning a yield acceptable to conservative investment managers.

At March 31, 2024, the *Cash and Investment Holdings Summary* shows NIU's total holdings stand at \$105M, with \$44M available for daily operations, providing approximately 37 days cash on hand. This operating cash balance is sufficient to meet the University's current obligations for approximately one month based on the current burn rate of \$1.2M per day. The remaining balance is restricted per collateralization requirements, contractual obligations, and funds held for investment. The total holdings balance is down \$66M from March 31, 2023. This decrease is primarily due to a delay in the receipt of state appropriations, compared to last year. Other factors contributing to this decrease include exhaustion of pandemic relief funds, increased cash disbursements related to payroll, supplier payments, and debt service, while other cash receipts have held relatively flat. Despite anticipated receipts of payment from state appropriations in the fourth quarter, historical cash receipt and disbursement trends suggest a further reduction in total holdings. With proactive planning to address the declining cashflow trend and decisive action on a deficit mitigation plan, the university will overcome these financial challenges and secure its long-term success.

The *Investment Earnings* report shows income of \$1.5M and an overall annualized rate of return of 5.39% for the third quarter. This represents a decrease in interest earned of approximately \$171K when compared to the same quarter of FY23. The decrease in interest earned is primarily due to a decrease in the total cash and investments holding balances, despite improved market yields from the same period last year. Operating funds are primarily invested in interest-bearing savings accounts and money markets to balance liquidity needs with investment earnings. Due to the decrease in funds held for investments and total holdings balance funds are currently not being invested in fixed income investments to ensure liquidity to support operations.

Recent economic indicators point to solid expansion, with strong job gains and low unemployment rates. Although inflation has eased over the past year, it remains elevated. The Federal Open Market Committee has decided to maintain the target range for the fed funds rate at 5.25% to 5.5%. The Committee is scheduled to meet again on April 30 and May 1, 2024, and economists currently anticipate no change in rates. Management actively monitors market changes impacting the university's cash management and investment goals and deploys all available options within the limitations of the *Illinois Public Funds Investment Act*. Progress in responding to the campus call

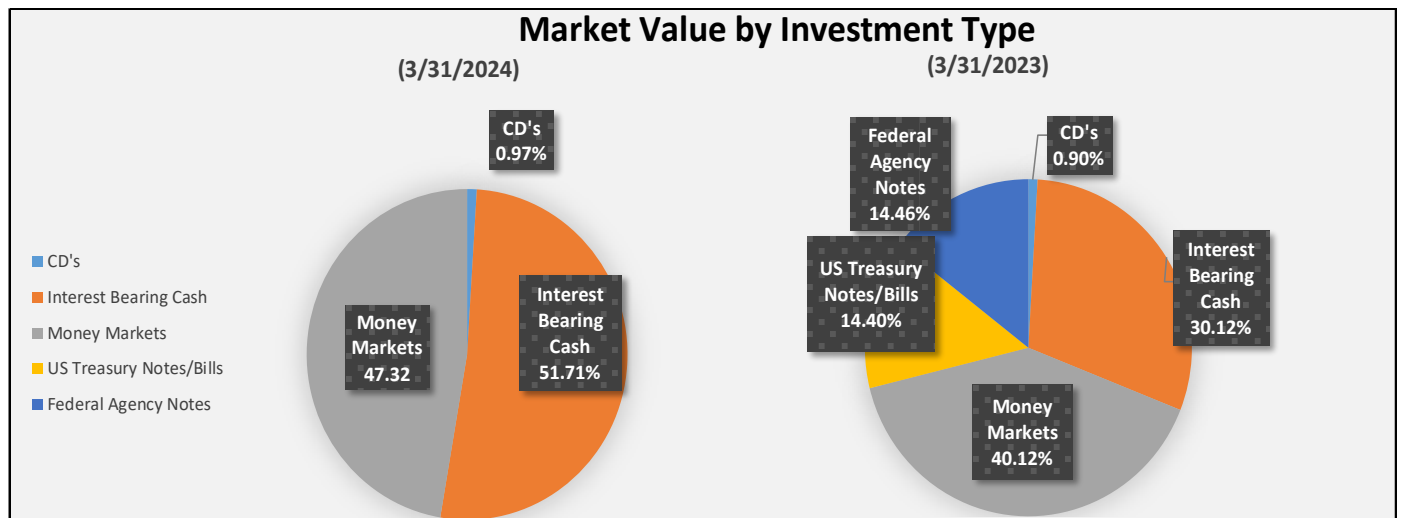
to action to stabilize operations will be critical to maintain sufficient operational liquidity and strengthen the university's cash position.

NORTHERN ILLINOIS UNIVERSITY
CASH and INVESTMENT HOLDINGS SUMMARY

March 31, 2024

For Fiscal Year 2024

Investment Type	Purch Price/ Ending Bal *	Book Value **	Market Value ***
CD's:			
Local Funds	\$ 1,012,563	\$ 1,012,563	\$ 1,012,563
Federal Agency Notes:			
Local Funds	\$ -	\$ -	\$ -
Interest Bearing Cash Accounts:			
Local Funds	\$ 54,112,653	\$ 54,112,653	\$ 54,112,653
Money Markets:			
Contributory Trust	\$ 9,839,000	\$ 9,839,000	\$ 9,839,000
Local Funds	39,676,395	39,676,395	39,676,395
	<u>\$ 49,515,395</u>	<u>\$ 49,515,395</u>	<u>\$ 49,515,395</u>
US Treasury Notes/Bills:			
Local Funds	\$ -	\$ -	\$ -
TOTAL INVESTMENT HOLDINGS	\$ 104,640,611	\$ 104,640,611	\$ 104,640,611
Non-interest Bearing Cash	\$ 456,028	\$ 456,028	\$ 456,028
TOTAL CASH & INVESTMENT HOLDINGS FOR 3/31/2024	\$ 105,096,639	\$ 105,096,639	\$ 105,096,639
TOTAL CASH & INVESTMENT HOLDINGS FOR 3/31/2023	\$ 171,309,566	\$ 171,847,210	\$ 171,828,458
INCREASE/(DECREASE) IN TOTAL CASH & INVESTMENT HOLDINGS	\$ (66,212,927)	\$ (66,750,571)	\$ (66,731,819)



* Amounts per Bank and Investment Statements

** Purchase price, net of accumulated amortization of premiums and discounts

*** Estimated price for which an investment would sell in the marketplace

Assets reported in the CASH and INVESTMENT HOLDINGS SUMMARY comply with the Illinois Public Funds Investment Act (30 ILCS 235) and the NIU Investment and Cash Management Policy

NORTHERN ILLINOIS UNIVERSITY
INVESTMENT EARNINGS
For Fiscal Year 2024
January 1, 2024 - March 31, 2024

	March 31 Ending Balance	Qtr 3 Average Daily Balance	Percent of Portfolio	Qtr 3 Income Earned	Annualized Rates of Return				
					FY2024			FY2023	
					3rd Qtr	2nd Qtr	1st Qtr	4th Qtr	
Short-Term Investment Accounts									
Illinois Funds	\$ 39,676,395	\$ 34,456,253	30.94%	\$ 463,650	5.397%	5.508%	5.419%	5.040%	
Investment Accounts - Financial Institutions	\$ 54,112,653	\$ 57,483,174	51.62%	\$ 778,193	5.430%	5.570%	5.433%	5.023%	
Contributory Trust	\$ 9,839,000	\$ 9,838,829	8.84%	\$ 127,780	5.209%	5.246%	5.163%	4.906%	
Escrow Account	\$ -	\$ -	0.00%	\$ -	0.000%	0.000%	4.922%	4.674%	
CD's	\$ 1,012,563	\$ 1,012,563	0.91%	\$ 12,799	5.070%	5.070%	4.725%	4.172%	
ST Investment Accounts Total	\$ 104,640,611	\$ 102,790,819	92.31%	\$ 1,382,422	5.394%	5.506%	5.385%	5.005%	
Fixed Income Securities									
Local Funds	\$ -	\$ 8,566,415	7.69%	\$ 115,147	5.391%	5.420%	5.131%	4.819%	
Fixed Income Securities Total	\$ -	\$ 8,566,415	7.69%	\$ 115,147	5.391%	5.420%	5.131%	4.819%	
Non-interest Bearing Cash	\$ 456,028	-	-	-					
COMBINED TOTAL	\$ 105,096,639	\$ 111,357,234	100.00%	\$ 1,497,569	5.39%	5.49%	5.34%	4.96%	

Assets reported in INVESTMENT EARNINGS comply with the Illinois Public Funds Investment Act (30 ILCS 235)
and the NIU Investment and Cash Management Policy

FISCAL YEAR 2025 UNIVERSITY BUDGET PRELIMINARY SUMMARY

In preparation for June Board of Trustees consideration of the FY25 Internal Budget, University leadership continues to consult campus partners and the Office of Budget and Financial Planning to advance an all-funds fiscal year budget proposal. While some inputs into this budget proposal remain under development, several known and accepted parameters exist. This preliminary budget summary document reviews major assumptions and parameters and associated activities and initiatives that will shape both the FY25 budget and future multi-year budget planning efforts.

FY25 Revenue Budget Drivers

Four major revenue categories for the FY25 budget and relevant assumptions are outlined below:

State of Illinois Appropriation: Consistent with the 2% increase in appropriations proposed by Governor Pritzker in February, the FY25 budget plan anticipates \$100.6M in operating appropriations, a nearly \$2M increase over the current fiscal year. The FY25 State of Illinois budget, including this proposed level of appropriation, is not yet final and may not be adopted until June. For reference, State appropriations represent 24.2% of projected FY24 all-funds NIU revenues.

Tuition and Student Fees: A revenue projection model for tuition and student fees informs the FY25 revenue budget based on anticipated levels of enrollment at both the undergraduate and graduate levels. Enrollment projection updates are expected over the next several weeks as additional data on applications, acceptances, and confirmations is evaluated against the trends of recent admissions cycles, retention and reenrollment success, and the impact of lagging FAFSA completion rates and related challenges with financial aid award notifications. Tuition and fee rate information for the 2024-25 academic year were set by the Board of Trustees in February and any approved changes are reflected within the revenue projection model. Actual enrollments will be known in September as the 10-day census is finalized. A positive or negative 1% change in enrollment from this assumption is associated with a \$1.27M change in anticipated tuition and fee revenues. For reference, tuition and Student Fees represent 40.8% of projected FY24 revenues. Accordingly, the success of actions taken to increase FAFSA completion and notify students regarding financial aid will have significant revenue implications.

Auxiliary/Local Revenues: Beginning in March, units across campus began projecting and recording expected non-tuition and fee FY25 revenues using budget development worksheets. These revenues, derived from services like student housing and dining and entrepreneurial activities of colleges and units like Outreach, Engagement and Regional Development, comprise a significant portion of total university revenues - approximately 33% of projected FY24 revenues. Some of the auxiliary units base their revenue expectations on enrollment projections and will be affected by challenges with FAFSA rollout and timely student financial aid notification.

Gifts, Grants, & Contracts: Primarily representing State and Federal financial aid programs like the Pell Grant, this restricted-use revenue category is expected to fully support associated expenses over the entirety of a fiscal year. Estimates for these activities remain under development. For reference, approximately half of NIU undergraduate students receive Pell Grants. Gifts, Grants, & Contracts represent 8.9% of projected FY24 revenues.

FY25 Expense Budget Drivers

University leadership continues to work through budget priorities to identify strategies that will align with the University goals, including our commitment under Goal 6A to implementing an all-funds, multiyear budget and reducing the deficit through university-wide efforts to generate revenue and reduce expenses. The following three broad categories are of special note to the still-developing FY25 expense budget:

Education and General Operations Support: Revenue received from State Appropriations and most tuition and student fee revenues are available to support the Education and General operating activities of the University. The distribution of these revenues between and across university divisions is currently under consideration by senior university leadership. Personnel Services and Contractual Services are expected to be the largest Education and General categories in FY25.

- For Personnel Services, recently ratified collective bargaining agreements and FY25 non-represented employee increments planned for consideration by the Board will put pressure on personnel budgets. Additionally, three existing union contracts are scheduled to expire at the conclusion of FY24. Personnel Services typically account for 54% of all expenses.
- Contractual Services spending includes software licenses, conference registration fees, building and grounds repairs and maintenance and other services procured from external contractors. Many of these expenses have increased with inflation since the COVID-19 pandemic. Contractual Services spending is projected at 19% of all FY24 expenditures.

Scholarship Support: University revenues combine with State and Federal revenues to support annual scholarship program budgets. NIU provides institutional aid to students to promote affordability and, in some cases, to earn state matching funds that may also be available, including State funds through the AIM High program. The institutional aid and scholarships budget for FY25 continues to be developed. Notably, in FY25, more strategic deployment of scholarship and institutional aid will have a positive impact on the university expense budget. Specifically, improved leveraging of NIU Foundation resources is expected to have a positive impact of \$2.5 million, and expanded application of the State's AIM HIGH Huskie Pledge funds will result in \$1.6 million in savings.

Debt Service: Based on schedules set during two recent bond refinancings, debt service obligations for the university are known for FY25. Overall, debt service expenditures will decrease slightly in FY25 to \$22M from \$22.1M in FY24.

Deficit Mitigation:

Based on the factors cited above and the information currently under development, the proposed FY25 budget planned for presentation at the June Board of Trustees meeting should result in a reduced deficit, although there is significant uncertainty regarding the impact of the federal FAFSA missteps. The University has taken several steps to closing the budget deficit, including:

- Increasing tuition and fee revenues through retention, reenrollment, and pricing strategies to grow revenues.
- Expanding housing capacity to capture additional significant revenues.
- Leading an institution wide effort to reduce inefficiencies in technology spending.
- Launching initiatives designed to increase academic efficiency and decrease curricular complexity.

- Increasing revenue through miscellaneous licensing, sales, and services initiatives.

Additional work, beyond these specific efforts, is being performed across campus to develop and implement immediate and multi-year strategies to reduce the deficit by either reducing expenses or growing revenues.

Consistent with established practice, the Board of Trustees will receive regular reports on progress toward FY25 budget plans and the development of a multi-year budget approach. The tentative plan outlined below includes FY25 budget-related progress reports to the Board of Trustees and its Finance, Audit, Compliance, Facilities and Operations (FACFO) Committee:

- June (Board of Trustees): Proposed FY25 Budget Framework
- November (FACFO): First Quarter Financial Summary
- February (FACFO): Second Quarter Financial Summary
- May (FACFO): Third Quarter Financial Summary
- November (FACFO): Fourth Quarter (Full Year) Financial Summary

CAMPUS ENERGY IMPROVMENTS PHASE I IMPLEMENTATION

To advance the university's Sustainability and Climate Action Plan and long-term goal to achieve net zero greenhouse gas emissions by 2050, an assessment is underway to determine energy reduction and generation opportunities which are eligible for Infrastructure Reduction Act (IRA) incentives and/or will generate sufficient energy savings to finance identified improvements. This assessment is targeted to conclude by June/July and, given tight timelines to meet IRA requirements by the end of 2024, approval will be sought to proceed with implementing anticipated energy improvements recommendations at either the June or August board meetings. The estimated magnitude for these financed improvements may be \$20M to \$30M and will be structured around conservatively projected energy savings generated annually over the 20-year term.

Acknowledging the significance of the investment, considerable scrutiny will be applied to the recommended improvements and proposed financial projections to ensure the university's ability to manage the anticipated debt burdens within the resulting energy savings forecasted. Updates will be regularly provided at future meetings as details are developed for the scope and financial projections for this project.

NIU contracted with Trane, Inc. to complete an engineering assessment and preliminary design to define the scope of work for this project. This anticipated scope may include various energy consuming building/infrastructure systems on the campus, and potential energy generation opportunities, as noted in the list below.

Trane will identify and audit target solutions for existing conditions, and energy/carbon impacts through investigation, interviews, and analysis. The project will focus on systems and sites with a potential for rapid financial returns as well as benefit from IRA incentives which may be sunseting at the end of 2024. In parallel to focusing on the technical and environmental impact, Trane will collaborate with NIU to identify and document the financial and social impacts of programs proposed which may include workforce development opportunities, community engagement, and related academic benefits.

Programs & Systems

Campus & Building Energy Efficiency
Campus Heating System Conversion
Campus Cooling System Upgrades
Solar PV Systems
Career-Tech Education Development
Building Automation System Upgrades
Lighting Upgrades
HVAC System Upgrades
Building Envelope Improvements

QUARTERLY SUMMARY REPORT OF TRANSACTIONS IN EXCESS OF \$100,000 FOR THE PERIOD JANUARY 1, 2024 TO MARCH 31, 2024

In accordance with *Board of Trustees Regulations (Section V, Subsection B.5)*, this report of all obligations of financial resources greater than \$100,000 but less than \$250,000 is submitted at the end of each calendar quarter to the Board of Trustees. Transactions included in this quarterly report reflect the maximum approved amount that orders may not exceed and do not include commercial card obligations. Following is a summary of the number of transactions, fund source, and total dollar amount, and transaction details for obligations incurred during the quarter ended March 31, 2024.

Purchase Amount	No. of Transactions	Appropriated*	Non-Appropriated	Total
Over \$100,000	20	\$359,580	\$3,170,815	\$3,530,395

TRANSACTIONS DETAIL:

Purchases:

1. The College of Business requested an amendment to increase the purchase order for the continuation of marketing services to deliver quality leads for enrollment in One-Year Schaumburg MBA, One-Year Chicago MBA, and Executive MBA formats for the period February 1, 2024, through January 31, 2025. This item had been originally approved in the June 29, 2023 President's Report in the amount of \$36,500. (Gilmore Marketing Concepts Inc., Elgin, IL) 232,500
2. The School of Allied Health and Communicative Disorders requested to increase the open order for the purchase and repair of hearing aids for resale for the period through June 30, 2024. This item had been originally approved in the June 8, 2023 President's Report in the amount of \$48,000. (Phonak, Warrenville, IL) 103,000
3. The Division of Information Technology requested permission to purchase equipment for data network services to expand and enhance Wi-Fi coverage in the Holmes Student Center for students, faculty, and staff. (Presidio Networked Solutions Group, Chicago, IL) 242,973
4. The Division of Enrollment Management, Marketing and Communications requested an amendment to increase the membership renewal period for an online platform as part of a targeted recruitment and enrollment plan developed by EAB for the period December 26, 2024 through December 25, 2027. This item had been originally approved in the November 3, 2023 President's Report in the amount of \$31,400. (Encoura LLC, Kansas City, MO) 128,000*

- | | |
|--|----------|
| 5. The Division of Administration and Finance requested permission to renew the University's cyber liability insurance for the period December 1, 2023 through December 1, 2024. (Marsh USA Inc., Chicago, IL) | 133,390 |
| 6. Intercollegiate Athletics requested permission to increase their open order for basketball officials for the period through June 30, 2024. This item had been originally approved in the December 15, 2023 President's Report in the amount of \$89,450. (Mid-American Conference, Cleveland, OH). | 172,700 |
| 7. The Division of Information Technology requested permission to purchase a Cisco UCSX system to refresh some of the hardware on the virtual infrastructure, which provides critical services for Blackboard, Transact, Identity Management, security cameras, and many more services across the university. (Presidio Networked Solutions Group, Chicago, IL) | 247,847 |
| 8. Intercollegiate Athletics requested permission to issue a purchase order for lodging and catering for the NIU Football team's December 23rd Camellia Bowl game in Montgomery, Alabama, for the period December 19, 2023, through December 23, 2023. (Montgomery Embassy Suites, Montgomery, AL) | 107,505 |
| 9. The Department of Biological Sciences requested permission to enter into an agreement aimed at producing a documentary film titled "Prairies Unearthed - BIOS" throughout December 2024. (Ariel Waldman, San Francisco, CA) | 125,000 |
| 10. Integrated Media Technologies requested an amendment to increase the open order for utilization and maintenance services for the remaining fleet of Canon printers, which are still in operation and not included in the Ricoh USA contract, until June 30, 2024. This item had been originally approved in the September 8, 2023 President's Report in the amount of \$99,000. (Gordon Flesch, Chicago, IL) | 239,000 |
| 11. Transportation requested permission to procure three sedans to replace vehicles currently being used by Admissions for student recruiting endeavors. These vehicles, ranging between 15 and 20 years, exhibit safety concerns or are approaching the end of their operational lifespan. (Vendors to be determined) | 114,000 |
| 12. Transportation requested permission to acquire three cargo vans for Building Maintenance operations. The current vehicles have been taken out of service due to structural safety issues that were deemed beyond economical repair. The new vehicles will serve the purpose of transporting personnel, tools, and materials required for various building infrastructure maintenance and repairs across campus. (Vendors to be determined) | 195,000 |
| 13. Human Resource Services sought authorization to procure software and equipment intended for establishing a service-based workforce management platform for the Time and Labor project, spanning from March 29, 2024 to March 17, 2025. (Kronos SAAHR Inc., Lowell, MA) | 231,580* |

Capital Improvement Projects:

- | | |
|---|---------|
| 1. Psychology Computer Science Building room renovation: Upgrades were made to transform a space suitable for both virtual and in-person teaching, presentations, and meetings for up to twenty people. The upgrades included painting, flooring and ceiling tile replacement, LED lighting installation, additional power outlets and data jacks, as well as the installation of new wall-mounted TV monitors, cameras, microphones, and speakers. | 105,000 |
| 2. Sandburg Auditorium lighting control upgrade: Replacement of the lighting control system was required due to unreliability and end of life. A temporary solution was in place until a full replacement could be scheduled in May 2024 to avoid disruptions to events. | 165,000 |
| 3. Swen Parson electrical feed repair: An unexpected failure caused the urgent need for repairs to the 460-volt electrical feed between the north and south distribution gear to restore power. | 102,550 |
| 4. Extreme temperature damage repairs: Extended cold temps in early January 2024 caused heating and ventilation failures, water leaks, pipe breaks, and other issues across campus buildings. Immediate responses were initiated to address and clean up affected areas. This project aims to gather all associated costs related to these weather-related damages for potential insurance reimbursement. | 200,000 |
| 5. Patterson Hall fire sprinkler repairs: A fire protection sprinkler pipe failed in February 2024 requiring repair and restoration of damages to affected spaces. Immediate response efforts were initiated to address the pipe breakage, conduct initial cleanup, and de-water and dry out the affected areas. An insurance claim will be filed to recover costs above deductible levels. | 200,000 |
| 6. Davis Hall masonry repairs: This project addressed the prioritized masonry repairs needed for the deteriorating east stair tower, which has been experiencing significant water damage. The scope included masonry tuckpointing, stone resetting, coping cap installation, and interior plaster repairs. Roofing and flashing will also be reworked, along with some investigative work around the observatory tower. | 240,350 |
| 7. Energy sustainability improvement assessment: A phased program is being developed to improve energy efficiency and explore solar generation opportunities across campus facilities and infrastructure. Improvement recommendations will be shaped around available grants and long-term financing supported by energy savings. | 245,000 |

*Appropriated/Income Funds

FISCAL YEAR 2025 NON-REPRESENTED STAFF SALARY INCREMENT ALLOCATION GUIDELINES

Summary: Investing in our people remains a high priority for the President and the Board of Trustees. Accordingly, the university has developed an internally funded plan to provide increments for FY25 that recognizes the importance of salary increases in a resource-constrained environment.

Background: In accordance with *Board of Trustees Regulation II.C.2.a*, annual salary increment guidelines are approved by the Board of Trustees. The approval of annual salary increment guidelines authorizes the university's distribution of fiscal year increments to eligible employees. Following completion of the fiscal year salary adjustment process, increment totals are verified and provided to the Board of Trustees in summary form.

The recommended salary increment guideline for non-represented staff is to incorporate a 3% across-the-board salary increase to be implemented on and effective July 1, 2024. Non-represented staff on regular contracts/appointments (including temporary SPS staff) employed by the university on or before December 31, 2023, and who remain employed on the effective date of the increment program, will be eligible for the increment subject to university procedures, unless their contracts provide otherwise.

Those employees who are represented by a union or other legally recognized collective bargaining representative will receive a negotiated increment pursuant to the specific provisions of applicable, existing collective bargaining or other future negotiated agreements.

Recommendation: The University recommends that the Board of Trustees approve the Fiscal Year 2025 Non-Represented Staff Salary Increment Allocation Guidelines.

FISCAL YEAR 2025 NON-REPRESENTED FACULTY SALARY INCREMENT ALLOCATION GUIDELINES

Summary: Investing in our people remains a high priority of the President and the Board of Trustees. Accordingly, the university has developed an internally funded plan to provide increments for FY25 that recognizes the importance of salary increases in a resource-constrained environment.

Background: In accordance with *Board of Trustees Regulation II.C.2.a*, annual salary increment guidelines are approved by the Board of Trustees. The approval of annual salary increment guidelines authorizes the university's distribution of fiscal year increments to eligible employees. Following completion of the fiscal year salary adjustment process, increment totals are verified and provided to the Board of Trustees in summary form.

The recommended salary increment guideline for non-represented faculty is to incorporate a 3% across-the-board salary increase to be implemented on and effective July 1, 2024. Non-represented Faculty on regular contracts/appointments (including temporary Faculty with an FTE of .5 or greater) employed by the university on or before December 31, 2023, and who remain employed on the effective date of the increment program, will be eligible for the increment subject to university procedures, unless their contracts provide otherwise.

Those employees who are represented by a union or other legally recognized collective bargaining representative receive a negotiated increment pursuant to the specific provisions of applicable, existing collective bargaining or other future negotiated agreements.

Recommendation: The University recommends that the Board of Trustees approve the Fiscal Year 2025 Non-represented Faculty Salary Increment Allocation Guidelines.

**RECOMMENDATIONS FOR FACULTY TENURE AND/OR
PROMOTION EFFECTIVE 2024-2025**

NAME

COLLEGE/DEPARTMENT/SCHOOL

Hired at the Rank of Professor with Tenure

Ivan Small

Anthropology

Mohammad Saadeh

Engineering Technology

Recommendation: The University recommends that the Board of Trustees approve the Recommendations for Faculty Tenure and/or Promotion Effective 2024-2025.

UNGERBOECK EVENT AND VENUE MANAGEMENT SOFTWARE RENEWAL

Summary: The Division of Outreach, Engagement and Regional Development (OERD) is requesting approval to renew the university's current event and venue management software, Ungerboeck, for an additional four-year period. The new contract will take effect from July 1, 2024 through June 30, 2028, to be billed annually and not to exceed \$575,000 over the course of the full four years.

Background: Ungerboeck, the University's long-time event and venue management software, serves as the backbone of all revenue-generating conferencing and non-credit registration activities. The software enables the university to efficiently plan, execute, and evaluate a wide range of events, including academic conferences, trainings, camps, and social events at on-campus facilities, NIU satellite campuses, and off-site venues. The primary users of the software are OERD's Conference and Event Services department and Conference Registration and Continuing Education Management department.

Initially purchased for use by the university in 1999, the university's current engagement with Ungerboeck is the result of a competitive Request for Proposal in 2018. The contract was renewed annually with single-year agreements that fell within the Presidential approval level. With four extensions remaining under the existing award, OERD has instead negotiated a four-year renewal to secure cost savings over the prior annual renewals.

Funding: Institutional Funds - \$575,000

Recommendation: The University recommends Board of Trustee approval of the request to enter into a four-year renewal with Ungerboeck Systems International, LLC through FY28 in an amount not to exceed \$575,000.

OUT-LEASE WITH PROSPECTIVE TENANT AT NIU NAPERVILLE (NIU AS LESSOR)

Summary: The University is requesting to establish a new out-lease for available space at the NIU Naperville campus located at 1120 E Diehl Rd, Naperville. The prospective lessee is an Illinois-based statewide association. Envisioned terms would include an initial 15-year agreement with two optional 5-year extensions.

Background: There is interest in leasing about 29,000 square feet of office space at the Naperville campus; detailed discussions with the prospective tenant are underway. Out-leasing purpose-built office space at the Naperville campus is critical to the facility's funding model and allows it to operate in support of the University's mission. The agreement would include authorizing the tenant to fund and complete renovation improvements to reconfigure the space to best meet their needs. This renovation effort may be of a possible magnitude of \$3,200,000. In addition, gross revenue to the University during the initial 15-year term may be in the order of \$8,500,000. Based on discussions with the prospective tenant, construction may begin in November 2024 with occupancy by May 2025.

The lease rate negotiated is considered fair and reasonable based on market conditions in the Naperville vicinity and the length of the lease.

Funding: While this is a revenue-generating agreement anticipated to generate an average of \$550,000 annually or approximately \$8,500,000 over the initial 15-year term, there are associated broker commission expenses in the magnitude of \$1,000,000.

Recommendation: The University recommends Board of Trustee approval of the request to lease available space at the Naperville campus and pay associated broker commissions not to exceed \$1,000,000.

FISCAL YEAR 2025 UNIVERSITY INTERNAL BUDGET

Background: Annually, the University seeks the Board's approval of the internal budget for the next fiscal year. Consistent with the preliminary budget summary presented to the Finance, Audit, Compliance, Facilities and Operations Committee in May, this FY25 internal budget request represents an initial strategy to manage through a significant deficit while the University community identifies expense reductions and revenue opportunities in launching a multi-year planning and budgeting strategies toward a sustainable University budget.

Through collaborative development of multi-year planning and budgeting, specific goals and strategies have been and will continue to be identified and implemented. Preliminary actions and financial goals were presented with the FY24 Internal Budget in June 2023. An update on those actions is provided below, along with revised and expanded potential actions and goals for FY25-26.

	Actions (Status)	Financial Goal (Status)	Related 2023 University Goals (Status)
FY24	• Evolve technical budget development process to support multiyear budget planning (COMPLETE). • Evolve data systems and budget reports to promote multiyear budget planning (ONGOING). • Develop (PHASE I COMPLETE) and deploy (ONGOING) budget training modules.	• Develop plan to reduce FY25 budget deficit by 50% through recurring revenue growth and expense reduction (ONGOING).	• Continue to invest in innovation. • Make available training and education that covers basic budgeting terminology/concepts; provide more actionable data and decision support (Multiyear goal; initial education program by 12/23). • Continue development of gainsharing strategies; pilot more broadly (Multiyear goal). • Advance timeline for annual budget development, review and approval to permit a more equitable, iterative process (Activity postponed to FY25 – multiyear goal in progress). • Continue transitioning NIU budget process from allocating and accounting for resources to strategic budgeting and planning (Multiyear goal)
FY25	• Develop budget tools to support campus multi-year planning and budgeting. • Refine technical process for multiyear budget development. • Refine multiyear budget reports.	Develop and execute a plan to reduce FY26 structural budget deficit by 100% through revenue growth and expense reduction.	• Continue to invest in innovation. • Continue development of gainsharing strategies; pilot more broadly (Multiyear goal); • Continue to implement and refine budget strategies, processes, and timelines to advance FY23-24 annual and multiyear goals. • Continue to advocate at the state level for adequate, equitable and sustainable funding. • Advance timeline for annual budget development, review and approval to permit a more equitable, iterative process (Activity postponed to FY25 – multiyear goal in progress). • Continue implementing an all-funds budgeting model by developing and initiating a process for the effective use of scholarship funding (Multiyear goal). • Continue refining financial training and education (Multiyear goal).
FY26	• Evolve technical budget development process to support multiyear budget planning. • Evolve data systems and budget reports to promote multiyear budget planning.	• Continue to produce a balanced budget in FY27.	• Continue to implement and refine budget strategies, processes, and timelines to advance annual and multiyear fiscal goals.

FY25 Proposed Budget: The following budget request uses the format introduced in FY23 and continued in FY24.

The FY25 recommended internal budget presented for Board consideration includes \$439.3M in expenses against \$423.9M in anticipated revenues, including State operating support of \$100.6M. As a result, the proposed budget deficit for FY25 is \$15.4M, a 52% reduction from the projected \$32.2M deficit in FY24.

Expense projections captured in this preliminary budget are based on known rates for items like debt service and represent the aggregate FY25 expense budget capacities of all University divisions and budgeted funds. These capacity calculations were guided by the following assumptions and parameters:

- Personnel adjustments including support of an increment to non-represented staff and faculty and increments negotiated through collective bargaining.
- Planned commitments maintained for financial aid and scholarships for students, including AIM HIGH matching funds.
- Revised debt service schedules reflecting the results of both the 2020 AFS bond refinancing and the 2021 AFS bond refinancing.
- Resources to support mission-oriented infrastructure investments.

The proposed \$15.4M FY25 budget deficit can be reconciled to the \$32.2M deficit projected in the recent FY24 Third Quarter Financial Summary update. Both recurring and non-recurring strategies will cause a significant decrease in spending relative to expected revenues. Specifically, the following factors drive the improved operating deficit proposed for FY25:

Reconciliation of FY25 Proposed Budget to FY24 Projected Results (Thousands)			
Item/Action	Recurring	Non-Recurring	Total Surplus/(Deficit)
<i>FY24 Projected FY24Q3 Surplus/(Deficit)</i>	\$ (33,467.9)	\$ 1,279.7	\$ (32,188.2)
State of Illinois Appropriation	\$ 1,973.0		\$ (30,215.2)
Tuition Revenue	\$ 3,739.7		\$ (26,475.5)
Scholarship Optimization	\$ 1,600.0		\$ (24,875.5)
Represented & Non-Represented Increments	\$ (6,842.7)		\$ (31,718.2)
Faculty Promotions	\$ (354.0)		\$ (32,072.2)
Spending Constraints	\$ 8,661.9		\$ (23,410.3)
iFiber Sale		\$ 8,000.0	\$ (15,410.3)
Total of Above Items/Actions:	\$ 8,777.9	\$ 8,000.0	

The proposed expense budget associated with the FY25 budget deficit represents a daily “budget burn rate” of approximately \$1.68M each business day. Based on this budget burn rate, the projected deficit represents approximately 9 days of expenses. The planned goal to achieve a balanced budget in FY26 will require increases in revenues, decreases in expenditures, or a combination of strategies equivalent to approximately \$59k per business day. In total, anticipated University revenues for FY25 can support 96% of expenditures in the proposed budget. The proposed budget is based on known or anticipated factors, such as forecasted inflation and past student recruitment and retention trends. It does not reflect unknown factors, such as the FAFSA rollout problems current and future students are experiencing. If the FAFSA issue impacts the University’s budget, we will need to take further actions to achieve the proposed budget. This will mean growing additional revenues and reducing expenses during the year to meet the budget targets.

While the total expense budget capacity of the university is currently known, specific budget plans are under development within the divisions, colleges, and departments of NIU. These divisional and collegiate spending plans will be recorded according to established process, with an expected completion date of mid-July. Because these specific plans are pending, the following charts and tables represent a categorical expense budget based on recent NIU expense history. The final FY25 expense budget will have variation from what is presented here on a categorical basis. For example, Personnel Services budgets may ultimately total more or less than is outlined in this document. Corresponding changes to budgets in other categories and types (recurring/non-recurring) will keep the total expense budget across all categories consistent with this proposed budget. Revenue budgets presented in this document are based on the detailed expectations of divisions and colleges and are not likely to change across categories in the same way as expense budgets.

FY25 INTERNAL BUDGET SUMMARY BY LINE ITEM (\$ in Thousands)						
	FY21 Actuals*	FY22 Actuals*	FY23 Actuals	FY24 Projected	FY25 Proposed Budget	Variance FY25 - FY24
SOURCES OF OPERATING REVENUE						
Tuition & Student Fees	\$ 168,548	\$ 164,425	\$ 162,625	\$ 165,162	\$ 164,557	\$ (605)
Gifts, Grants, & Contracts	82,975	106,582	37,388	35,733	42,571	\$ 6,838
Other Fees and Fines	3,310	4,968	5,914	6,738	6,762	\$ 24
Sales	17,432	26,229	35,019	38,151	48,661	\$ 10,510
Rental & Room Income	18,354	31,850	35,044	36,375	38,322	\$ 1,947
Gate Receipts & Commissions	205	2,189	4,085	3,624	5,156	\$ 1,532
Miscellaneous & Investment Income	11,893	10,649	20,103	20,346	17,218	\$ (3,128)
State of Illinois	87,824	92,217	92,216	98,648	100,621	\$ 1,973
TOTAL, OPERATING REVENUES	\$ 390,540	\$ 439,108	\$ 392,394	\$ 404,777	\$ 423,869	\$ 19,093
OPERATING EXPENSES BY LINE ITEM						
Cost of Sales	\$ 2,476	\$ 3,818	\$ 5,501	\$ 5,886	\$ 4,839	\$ (1,047)
Personnel Services	214,245	230,845	214,832	235,758	245,113	\$ 9,355
Contractual Services	70,033	79,571	81,310	82,127	85,667	\$ 3,540
Commodities	3,976	5,781	5,728	6,412	5,992	\$ (420)
Travel	967	3,383	4,012	5,455	3,781	\$ (1,674)
Automotive	660	953	651	629	791	\$ 162
Scholarships	65,455	80,234	66,752	65,671	57,506	\$ (8,165)
Telecommunications	513	528	438	363	504	\$ 141
Equipment & Library Books	7,961	10,506	10,230	8,626	10,214	\$ 1,588
Capital Repairs	712	2,732	3,247	3,533	2,798	\$ (735)
Debt Service	24,458	15,914	17,787	22,058	22,074	\$ 16
Miscellaneous Expenses	10	1,028	470	450	-	\$ (450)
TOTAL, OPERATING EXPENSES	\$ 391,466	\$ 435,293	\$ 410,958	\$ 436,968	\$ 439,280	\$ 2,312
NET INCOME/(LOSS)	\$ (926)	\$ 3,815	\$ (18,564)	\$ (32,191)	\$ (15,411)	\$ 16,781

*All fiscal year information prior to FY23 includes Grants activity, comprised of sponsored research and instruction and Federal financial aid programs like the Pell Grant. FY24 and FY25 information includes Federal financial aid programs but excludes research grants.

For reference, definitions and data associated with each table presented above are described in detail below.

Revenues categories

1. **Tuition and Student Fees:** All tuition, including differential tuition, course and materials fees, athletics fees, bus fees, and health service fees.
2. **Gifts, Grants, and Contracts:** Includes grant revenue to support financial aid programs, like Pell Grants and AIM High awards for all fiscal years. Beginning with FY23, grant support for sponsored research and the associated expenses are excluded from the revenue and expense activities reported in this table.
3. **Other Fees and Fines:** Includes parking fees, residential living-learning community fees, parking fines, and transcript and commencement fees.
4. **Sales:** Includes board plan and retail food sales, concessions sales, advertising/sponsorship, sales of services to off-campus entities (including, but not limited to catering, childcare, and conference services).
5. **Rental and Room Income:** Includes room fees for residential students, rental of on-campus and off-campus meeting and conference space, hotel revenues, and Northern View apartment revenues.
6. **Gate Receipts and Commissions:** Includes athletic ticket sales, performing arts ticket sales, commissions, and concert/event revenues from the Convocation Center.
7. **Miscellaneous and Investment Income:** Includes athletics' game guarantees, NIU share of conference tournament revenues, rental of facilities, and interest income from investments, gains and losses on disposals of fixed assets, and year-end transfers to mandated reserves in the auxiliary facilities system.
8. **State of Illinois:** Represents the annual state appropriation to public universities.

Expenses categories

9. **Cost of Sales:** Includes the cost of all items purchased for resale on campus such as food for dining units, parts and supplies to be charged out through the campus work order system, as well as paper for pay-to-print copiers and printers.
10. **Personnel Services:** Includes all salaries paid to faculty, supportive professional staff, civil service, graduate assistants, extra help, and student employees. Also includes required payments for Medicare and employee health insurance.
11. **Contractual Services:** Includes annual software support, subscriptions, conference registrations, non-employee travel reimbursements, service contracts, repairs and maintenance, grounds, building service work charges, speaking fees, and other arrangements with outside contractors to perform services for the University.
12. **Commodities:** Includes purchased items of a low cost that typically are consumed in a single year. Examples include paper for printing, lab supplies, napkins, and cleaning supplies.
13. **Travel:** Includes airfare, hotel rooms, mileage, meals (all up to limits set by the state and the University), and ancillary expenses for employees to travel to-and-from campus on official University business.
14. **Automotive:** Includes the cost to rent cars, vans, and buses from the University transportation department.
15. **Scholarships:** Includes scholarships awarded, including Pell Grant funds disbursed (which, when netted against Pell Grant funds received, results in zero effect on the University's net revenue).
16. **Telecommunications:** Includes the cost of providing phone, internet, and other digital services to the University.
17. **Equipment and Library Books:** Includes the cost of all items over \$100 with an estimated useful life of two years or more, as well as library books, journal subscriptions, manuscripts, films, music, and video materials.

18. Capital Repairs: Includes the cost of centralized capital repair activities.

19. Debt Service: Includes debt service payment on bonds, certificates of participation, and performance contracts.

20. Miscellaneous Expense: Includes refunds issued for on-campus external programming, deposit refunds, and other expenses not classified elsewhere.

Recommendation: The University recommends that the Board of Trustees approve the Fiscal Year 2025 University Internal Budget.

**PROPOSED AMENDMENTS TO THE BOARD OF TRUSTEES
REGULATIONS REGARDING FACULTY AND ADMINISTRATIVE
EMPLOYEES, FINANCIAL MATTERS, AND PHYSICAL FACILITIES**

Summary: Article IV Sections 7 of the Board of Trustees Regulations provides in part:

Board Regulations may be adopted at any regular meeting by majority vote of the total current voting membership of the Board, after it has sought the advice and recommendations of the president and, through the president, the advice of the University Advisory Committee and of such faculty and staff as the president deems necessary.

The Regulations may be amended at any regular meeting of the Board by majority vote of the total current voting membership of the Board, provided that notice of the intention to amend the Regulations shall have been presented in writing at least three days preceding that meeting. Such notice shall provide so far as possible the exact wording of the amendment proposed.

Members of the Board of Trustees, the president, and members of the University Advisory Committee may propose amendments to the Board Regulations.

In furtherance of these provisions, Former Chair Eric Wasowicz asked the Office of General Counsel and the Office of the President to conduct an initial, comprehensive review of the Board Regulations, in conjunction with the Board *Bylaws* and other governing documents of the Board and University and submit a proposal for consideration to amend the Board Regulations.

Attached is the proposal of amendments to the Board Regulations for the Board's regular meeting on June 20, 2024.

Recommendation: Per the Board of Trustees Regulations, it is recommended that the Board approve the proposed amendments to the Regulations by a vote of at least six (6) affirmative votes at the June 20, 2024, Regular Meeting of the Board of Trustees.

Section II: Faculty and Administrative Employees

Subsection A. Appointments

Original Language

11. Reporting on Personnel Transactions

The university shall annually provide the Board of Trustees with a summary of all new faculty and administrative appointments which have occurred under authority of these regulations during the previous 12-month period.

Proposed Amendment

~~The university shall annually provide the Board of Trustees with a summary of all new faculty and administrative appointments which have occurred under authority of these regulations during the previous 12-month period.~~

Section V: Financial Affairs

Subsection B. Obligation of Financial Resources

2. Administrative Approvals

A. Purchases

Original Language

1. Authorization by the Board of Trustees and/or the Executive Committee for expenditure of funds is not required prior to the commitment of funds in the following instances:

For requisitions involving expenditures of a routine nature necessary for normal and usual operation of the University, where there is only one source of supply or in actual practice no price selection is possible; such instances include, but are not limited to:

- (a) postal charges purchased from the Postmaster and locked in the postage meter machine;
- (b) postage stamps, post cards, and bulk mailing;
- (c) utilities (electrical energy, city water, and sewage charges, natural gas, and telephone charges);
- (d) freight, express, and interstate moving expenses;
- (e) annual renewals for rental of various physical facilities;
- (f) annual renewal insurance premiums in years subsequent to the year in which the original insurance was contracted;
- (g) subscriptions to journals and periodicals;
- (h) books and bound periodicals
- (i) professional and technical services;
- (j) credit card encumbrances for usual and customary automotive service station charges. Repair work other than minor or emergency type must have previous approval of Transportation Service;
- (k) annual rental of equipment in years subsequent to the year in which the original requisition was approved for installation, such as data processing equipment, photo-static copiers, accounting machines, and similar items;

- (l) annual maintenance contracts provided by a manufacturer or its agent for the equipment made by them;
 - (m) payments for items from specific single-item appropriations, such as CDB lease rental payment, retirement contributions, and fire protection, but excluding capital items;
 - (n) in emergencies involving public health, public safety, or where immediate expenditure is necessary for repairs to University property in order to protect against further loss or of damage to University property, to prevent or minimize serious disruption in University services, or to insure the integrity of University records;
 - (o) where the goods or services are procured from another governmental agency
 - (p) purchases of and contracts for office equipment and associated supplies when such contracts provide for prices that are equal to or lower than Federal General Services Administration contracts and when such contracts or pricing result in economical advantage to the University.
 - (q) Expenditures for sponsored research projects where the University acts as pass through of funds.
- (2) Transactions not listed above involving an obligation of \$500,000 or more, regardless of the source of funds, shall be approved by the Board of Trustees.

Proposed Amendment

1. Authorization by the Board of Trustees and/or the Executive Committee for expenditure of funds is not required prior to the commitment of funds in the following instances:

For requisitions involving expenditures of a routine nature necessary for normal and usual operation of the University, where there is only one source of supply or in actual practice no price selection is possible; such instances include, but are not limited to:

- 1) postal charges purchased from the Postmaster and locked in the postage meter machine;
- 2) postage stamps, post cards, and bulk mailing;
- 3) utilities (electrical energy, city water, and sewage charges, natural gas, and telephone charges);
- 4) freight, express, and interstate moving expenses;
- 5) annual renewals for rental of various physical facilities;
- 6) annual renewal insurance premiums in years subsequent to the year in which the original insurance was contracted;
- 7) subscriptions to journals and periodicals;
- 8) books and bound periodicals
- 9) professional and technical services;
- 10) credit card encumbrances for usual and customary automotive service station charges. Repair work other than minor or emergency type must have previous approval of Transportation Service;
- 11) annual rental of equipment in years subsequent to the year in which the original requisition was approved for installation, such as data processing equipment, photo-static copiers, accounting machines, and similar items;
- 12) annual maintenance contracts provided by a manufacturer or its agent for the equipment made by them;
- 13) payments for items from specific single-item appropriations, such as CDB lease rental payment, retirement contributions, and fire protection, but excluding capital items;
- 14) in emergencies involving public health, public safety, or where immediate expenditure is necessary for repairs to University property in order to protect against

- further loss or of damage to University property, to prevent or minimize serious disruption in University services, or to insure the integrity of University records;
- 15) where the goods or services are procured from another governmental agency;
 - 16) purchases of and contracts for office equipment and associated supplies when such contracts provide for prices that are equal to or lower than Federal General Services Administration contracts and when such contracts or pricing result in economical advantage to the University;
 - 17) ~~Expenditures~~ **Procurements** for sponsored research projects where the University acts as pass through of funds;
 - 18) Study Abroad programming;
 - 19) Compliance mandates issued by governing agencies (e.g., National Collegiate Athletic Association, Office of the Auditor General, Office of the Executive Inspector General, Mid-American Conference) for a specific good, service or vendor, franchise-required service and supplies;
 - 20) **Franchise-required food and supplies;**
- and
- 21) **The university is authorized to enter into purchase transactions for equipment and other materials or services associated with capital improvement projects if the bid estimates or the actual bids do not exceed the budgets previously approved by the Board of Trustees. (See Regulation Section VI.B.1.)**

2. Pay for performance contracts for revenue generating services under which vendors receive payment for services through the revenue generated for the university.

3. Contracts under which it serves solely as an agent or pass-through for external funding.

4. Transactions not listed above involving an obligation of \$500,000 or more, regardless of the source of funds, shall be approved by the Board of Trustees.

5. **The university is authorized to enter annual or multi-year contracts intended to support incremental, non-committal, or as-needed purchases (open orders). Any individual purchases \$500,000 or more executed under these open order contracts shall require Board of Trustees approval. This does not include purchases which constitute, in whole or in part, capital projects subject to Section VI.B.I.**

6. **Contingencies: All previously approved Board transactions are granted a not-to-exceed contingency up to \$250,000.**

C. Board Approval

Original Language

The president shall:

(a) bring to the Board all matters required by statute, regulation or policy;

(b) advise the Board of all matters not specifically required by law but which as a matter of sound management practice should be brought to the Board's attention, including, but not limited to, those matters with: i) significant financial implications; ii) substantial public interest or ethical considerations; and/or iii) material changes in or deviations from standard contractual provisions and obligations; and

(c) timely inform the Executive Committee of the Board of any procurement matter that significantly deviates from matters previously approved by the Board.

Proposed Amendment

~~The president shall:~~

~~—(a) bring to the Board all matters required by statute, regulation or policy;~~

~~(b) advise the Board of all matters not specifically required by law but which as a matter of sound management practice should be brought to the Board's attention, including, but not limited to, those matters with: i) significant financial implications; ii) substantial public interest or ethical considerations; and/or iii) material changes in or deviations from standard contractual provisions and obligations; and~~

~~(c) timely inform the Executive Committee of the Board of any procurement matter that significantly deviates from matters previously approved by the Board.~~

E. Professional Services and Consulting

Original Language

(1) Contracts for professional services and consulting involving an obligation of \$250,000 or more, regardless of the source of funds, shall be approved by the Board of Trustees. The president is authorized to enter into all other agreements for consulting and professional services.

Proposed Amendment

~~(1) Contracts for professional services and consulting involving an obligation of \$500,000 or more, regardless of the source of funds, shall be approved by the Board of Trustees. The president is authorized to enter into all other agreements.~~

5. Reports on Obligations of Financial Resources

~~A. Proposed Amendment: The president shall provide quarterly reports of all obligations of financial resources greater than \$250,000 but less than \$500,000.~~

Subsection D. Nonappropriated Funds

4. Budgets

Original Language

Each year the university shall prepare and submit to the Board for approval an annual operating budget reflecting estimated income and projected expenditures by major categories for the various nonappropriated funds. (See also Regulation Section V.H.)

Proposed Amendment

~~Each year the university shall prepare and submit to the Board for approval an annual operating budget reflecting estimated income and projected expenditures by major categories. (See also Regulation Section V.G.)~~

G. Internal Budgets

Original Language

1. The university shall prepare annually an overall financial plan detailing the proposed use of all funds available to the Board of Trustees for the operation of the institution. This detailed plan shall be referred to as the "Internal Budget" and shall reflect the anticipated income and projected operating expenditures for both appropriated and nonappropriated funds. The Board shall take action on the internal budgets each year. The president is authorized to make any reasonable changes in the budget as presented, within statutory limitations and within the total income available.

Proposed Amendment

The university shall prepare annually an overall financial plan detailing the proposed use of all funds available to the Board of Trustees for the operation of the institution. This detailed plan shall be referred to as the "Internal Budget" and shall reflect the anticipated income and projected operating expenditures. The Board shall take action on the internal budgets each year. The president is authorized to make any reasonable changes in the budget as presented, within statutory limitations.

K. Tuition and Fees

Original Language

Registration fees are defined as tuition and other fees established by the Board of Trustees which are assessed to all students as a prerequisite for registration unless a waiver or other exemption has been authorized by the Board of Trustees or by statute.

Proposed Amendment

Registration fees are defined as tuition and other fees, excluding specific course fees, established by the Board of Trustees which are assessed to qualifying students as a prerequisite for registration unless a waiver or other exemption has been authorized by the Board of Trustees or by statute.

Original Language

2. Board of Trustees approval is required to initiate or change the amount of room and board fees and any fee which is mandatory for admission or graduation. Such fees include application fees, graduation fees, and service fees.

Proposed Amendment

Board of Trustees approval is required to initiate or change the amount of room and board fees and any fee which is mandatory for admission or graduation, with the exception of specific course fees. Such fees include application fees, graduation fees, and service fees.

Section VI: Physical Facilities

Subsection B. Capital Projects

Original Language

1. Project Approval

All capital improvement projects and/or transactions for equipment and materials or other services associated with capital improvement projects with project budgets of \$250,000 or more, regardless of the source of funds, and all capital improvement projects, regardless of budget, involving significant new space or significant programmatic expansion shall be approved by the

Board of Trustees prior to the development of preliminary plans and specifications. The budget shall include all fees, reimbursements, construction costs, contingencies and, where applicable, the cost of associated equipment and materials or services. Any project for which the bid estimates or the actual bids exceed the approved budget must be resubmitted to the Board for approval. Capital Development Board projects, authorized by the legislature, shall not require Board of Trustees approval, but shall be included in the university's progress reports. The president is authorized to enter into all other capital improvement projects.

Proposed Amendment

All capital improvement projects and/or transactions for equipment and materials or other services associated with capital improvement projects with project budgets of \$500,000 or more, regardless of the source of funds, and all capital improvement projects, regardless of budget, involving significant new space or significant programmatic expansion shall be approved by the Board of Trustees prior to the development of preliminary plans and specifications. The budget shall include all fees, reimbursements, construction costs, contingencies and, where applicable, the cost of associated equipment and materials or services. Any project for which the bid estimates or the actual bids exceed the approved budget by 15% must be resubmitted to the Board for approval. Capital Development Board projects, authorized by the legislature, shall not require Board of Trustees approval, but shall be included in the university's progress reports. The president is authorized to enter into all other capital improvement projects.

4. Change Orders

Original Language

A. BOARD APPROVAL

If a change order will result in a financial obligation in excess of the contingency amount approved by the Board, a revised project budget shall be submitted to the Board for approval. All other change orders may be approved by the president. The president is authorized to delegate in writing the authority to sign change orders to members of the university staff.

Proposed Amendment

A. BOARD APPROVAL

If a change order will result in a financial obligation in excess of 15% as cited in VI.B.1., a revised project budget shall be submitted to the Board of Trustees for approval. All other change orders may be approved by the president.

Original Language

C. CAPITAL DEVELOPMENT BOARD APPROVAL

If the total combined additional expenditures or obligations under a change order exceed the percentages of the original contract set forth in "The Illinois Purchasing Act," the change order shall also be submitted to the Capital Development Board for approval.

Proposed Amendment

C. CAPITAL DEVELOPMENT BOARD APPROVAL

In accordance with state procurement law, no change order to any contract may be approved unless the additional work to be performed or material to be furnished is germane to the original contract. Change orders shall be approved in accordance with the following:

5. Procedures for Capital Projects

Original Language

A. SELECTION OF ARCHITECTS, ENGINEERS, AND LAND SURVEYORS

The university shall utilize the Board of Trustees "Procedure for Selection of Architects, Engineers and Land Surveyors" as required by Public Act 87-673 when considering these consultants for employment.

B. FEE SCHEDULE

The Capital Development Board A/E Professional Services Fee Handbook shall be used as a guide when determining fees to be paid to architects and engineers employed by the Board.

C. OBSERVATION

The university shall provide adequate field observation to ensure performance of contract documents on construction projects. The field observer shall act in the best interests of the university to advise the university if it appears that contract performance is not satisfactory. The university field observer shall in no way usurp the authority and responsibility of the project architect or its representatives.

D. CHILD CARE SERVICES

In connection with the construction of any facility in which more than 50 persons shall be employed, the university shall carry out an assessment of child care needs for such employees. The assessment of child care needs will be in accordance with standards and criteria as adopted by the Board. The assessment of child care needs will accompany any request for Board approval of such a facility.

Proposed Amendment

~~Original Language: A. SELECTION OF ARCHITECTS, ENGINEERS, AND LAND SURVEYORS~~

~~The university shall utilize the Board of Trustees "Procedure for Selection of Architects, Engineers and Land Surveyors" as required by Public Act 87-673 when considering these consultants for employment.~~

~~B. FEE SCHEDULE~~

~~The Capital Development Board A/E Professional Services Fee Handbook shall be used as a guide when determining fees to be paid to architects and engineers employed by the Board.~~

~~C. OBSERVATION~~

~~The university shall provide adequate field observation to ensure performance of contract documents on construction projects. The field observer shall act in the best interests of the university to advise the university if it appears that contract performance is not satisfactory. The university field observer shall in no way usurp the authority and responsibility of the project architect or its representatives.~~ NIU shall monitor and conduct all capital projects in accordance with state procurement and prevailing wage laws.

~~D. CHILD CARE SERVICES~~

~~In connection with the construction of any facility in which more than 50 persons shall be employed, the university shall carry out an assessment of child care needs for such employees. The assessment of child care needs will be in accordance with standards and criteria as adopted by the Board. The assessment of child care needs will accompany any request for Board approval of such a facility.~~

6. Reports to the Board on Capital Projects

The president shall provide the Board with the following reports on capital project activities:

Original Language

A. SEMI-ANNUAL PROGRESS REPORTS

The president shall provide a progress report of all active capital projects with a budget over \$100,000.

B. ANNUAL REPORT ON CAPITAL ACTIVITIES

At the conclusion of each fiscal year, the president shall provide the Board with a summary of capital activities conducted during the fiscal year.

Proposed Amendment

The president shall provide the Board with the following reports on capital project activities:

~~A. SEMI-ANNUAL PROGRESS REPORTS~~

~~The president shall provide a progress report of all active capital projects with a budget over \$100,000.~~

B. ANNUAL REPORT ON CAPITAL ACTIVITIES

At the conclusion of each fiscal year, the president shall provide the Board with a summary of capital activities conducted during the fiscal year.

Section VI: Physical Facilities

Subsection C. Naming of Facilities

Original Language

2. Procedures

a. Facilities: The President, after consultation with appropriate members of the university community, shall provide the board with a naming recommendation for any proposed naming for a specified facility, prior to the execution of a contract or the acceptance of a philanthropic gift that may result in a naming decision.

b. Rooms and other areas within facilities: The naming of spaces internal to a building or facility shall be developed by the Vice President for University Advancement, in consultation with the Vice President for Administration and Finance and the Executive Vice President and Provost, or equivalent positions, and recommended for approval to the President. The naming of all internal spaces within Facilities on campus shall conform to university standards and naming protocols.

Proposed Amendment

2. Procedures

a. Facilities: The President, after consultation with appropriate members of the university community, shall provide the board with a naming recommendation for any proposed naming for a specified facility. ~~prior to the execution of a contract or the acceptance of a philanthropic gift that may result in a naming decision.~~

PROPOSED AMENDMENTS TO BOARD REGULATIONS REGARDING COMMITMENT TO ETHICAL CONDUCT AND WHISTLEBLOWER PROTECTION, AS WELL AS REVOCATION OF OUTDATED NIU WHISTLEBLOWER POLICY

Summary: On December 15, 2016, the Board of Trustees established and approved an NIU Whistleblower Policy to solidify the University's commitment to conducting its dealings ethically and legally, as well as assuring that any employee who, in good faith, reports a suspected or alleged legal or ethical violation will be protected from retaliation under such policy. Since that time, the desire to have a university-level compliance and ethics program and fostering an organizational culture that is ethical and accountable have been identified as Board priorities and presidential goals.

A recent review of the Board's NIU Whistleblower Policy revealed that it contains some outdated information. With the improvements that the university has made in ethics and compliance recently, including a fully-developed Ethics and Accountability in the Workplace Policy (Ethics Policy), which covers whistleblower protections at NIU, and the establishment of an Ethics and Compliance Office, there does not appear to be a need for a separate NIU Whistleblower Policy from the Board. Yet, the Board wishes to still signify its continuing commitments to whistleblower protection and the conducting of university business and affairs in an ethical and legal manner. As such, it is recommended that the Board amend its Regulations to include provisions that address and document these commitments, a draft of which is presented below for the Board's review and consideration. The most appropriate place for this amendment is Section VII: Other Operational Matters of the Board Regulations.

Proposed Amendment

It is recommended that the Board of Trustees approve the request to amend Board of Trustees Regulations Section VII: Other Operational Matters as presented below.

It is vital to the establishment and maintenance of public trust that the university act ethically, as well as legally, in its dealings (whether academic, business, athletic, or otherwise). To that end, the Board of Trustees strongly encourages individuals to report what they reasonably believe to be a legal or ethical violation. Reporting this type of conduct, in good faith, constitutes protected activity and an individual who makes a report is protected from retaliation, regardless of whether the conduct is determined to be an actual violation of law, regulation, or policy.

Protected activity under law and policy also includes, but is not limited to:

- disclosing or threatening to disclose any practice or action an employee reasonably believes is in violation of law, regulation, or policy;
- providing information or testifying about alleged or suspected violations of law, regulation, or policy; or
- assisting or participating in a proceeding to enforce any law, regulation, or policy.

Good faith reports of suspected or alleged unethical or illegal activity may be made to the university's Ethics Officer, through established means which shall be publicly advertised, or the Office of the Executive Inspector General for the Agencies of the Illinois Governor

(OEIG). Good faith reports of suspected or alleged unethical or illegal activity by the Ethics Officer or President, or their direct reports, may also be brought to the attention of the Chair of the Board of Trustees.

Recommendation: The University recommends that the Board of Trustees revoke the NIU Whistleblower Policy, as it now contains outdated information and it is superfluous to the University's Ethics Policy and administrative structure for handling such ethics and whistleblower issues in the Ethics and Compliance Office.

NIU WHISTLEBLOWER POLICY

University's Commitment

Northern Illinois University strongly encourages the good faith reporting of suspected illegal or other wrongful conduct. It is vital to the establishment and maintenance of public trust that the University act ethically, as well as legally, in all of its dealings (whether academic, business, athletic, or otherwise), and this Policy protects anyone who reports a suspected ethical or legal violation in good faith.

In addition to complying with applicable federal, state, and local laws and with University rules, regulations, policies, and procedures, the University and its personnel must abide by the Illinois State Officials and Employees Ethics Act (*Ethics Act*). Anyone who violates applicable ethics requirements while acting in the capacity of a State employee, which includes University employees, may face significant consequences, up to and including discipline and/or termination. University personnel are required to receive and complete ethics training annually and at the time of hire. Additionally, University personnel are required to report what they reasonably believe to be violations of law or the University's own rules, regulations, policies, and procedures so that appropriate corrective action can be taken to address substantiated violations.

Although the University has developed and implemented internal controls and procedures that will deter and prevent illegal and other wrongful conduct, it is also essential that individuals bring instances of suspected unlawful or other wrongful conduct to the attention of an institutional or State representative with the power to take action. Making such a report can be difficult to do, and this Policy is in place to protect individuals who do so. **Any employee who, in good faith, reports a suspected or alleged legal or ethical violation will be protected from retaliation under this Whistleblower Policy.** An employee acts in "good faith" when he or she reports conduct that the employee reasonably believes to be a violation of law, rule, regulation or policy.

Below, the University explains what constitutes protected activity, the kinds of conduct that can be reported, and the protection that a Whistleblower will receive for a good faith report of illegal or wrongful conduct. Any questions about this Policy may be directed to the University Ethics Officer.

What is Protected Activity That Triggers Whistleblower Protection?

This Policy prohibits taking, or threatening to take, any retaliatory action (as defined below) against an employee or student who engages in protected activity (which includes reporting, assisting, or participating in an investigation of alleged wrongdoing). "Protected activity" occurs when an employee or student:

- *reports or threatens to report, in good faith, an act or omission believed to be (i) a violation of law, rule, regulation, policy, or procedure; or (ii) fraud, waste, abuse, mismanagement, or other wrongful conduct;*
- *provides information or testifies in good faith about any alleged or suspected violation of law, regulation, policy; or procedure, including but not limited to alleged or suspected violations of, the Ethics Act, the Illinois Freedom of Information Act, harassment or sexual misconduct;*
- *assists or participates in an investigation into any alleged or suspected violation of the Ethics Act or a proceeding to enforce the Ethics Act; or*

- *assists or participates in an investigation or other proceeding regarding an alleged or suspected violation of this policy or other University rule, regulation, policy or procedure.*

These descriptions of **protected activity** reflect and are intended to effectuate the promises and protections afforded under several Illinois state laws protecting whistleblower activity. See State Officials and Employees Ethics Act, 5 ILCS 430/1-1 et seq.; Illinois Whistleblower Act, 740 ILCS 174/1 et seq.

What is A Report of Wrongful Conduct?

For purposes of this policy, a **report of wrongful conduct** includes but is not necessarily limited to a report about:

- **A serious violation of University rule, regulation, policy, or procedure;**
- **A violation of applicable federal, state, or local law;**
- **Embezzlement or other financial irregularities; and/or**
- **Use of University property, resources, or authority for personal gain or other non-University related purpose, except as provided under University policy.**

Specific examples of **wrongful conduct** covered under this policy include but are not limited to:

- **Fraud, waste, abuse, mismanagement;**
- **Theft, misappropriation, misapplication, destruction, removal, or concealment of University resources including intellectual property;**
- **Violation of revolving door policies and prohibitions;**
- **Forgery, falsification, or alteration of documents;**
- **Improprieties/misrepresentation in the handling or reporting of money or financial transactions;**
- **Authorizing or receiving payment for goods not received or services not performed;**
- **Misuse of University facilities, such as telephones, e-mail systems and computers;**
- **Intentional, knowing, or reckless violation of the Illinois Procurement Code;**
- **Accepting or offering bribes, kickbacks, or rebates;**
- **Failure to report actual or perceived abuse or neglect of a child/minor;**
- **Violations of the Illinois Ethics Act; and/or**
- **Actions related to concealing or perpetuating the above-mentioned activities.**

Penalties

There are several penalties for noncompliance with the laws and policies governing University operations (such as the *Ethics Act*, the *Procurement Code*, and University policies prohibiting conflict of interest and revolving door violations). Penalties for violation may include misdemeanor criminal charges, personal fines, and discipline, up to and including termination/discharge. If employees have any questions about these obligations, the University's Ethics Officer is available to address them. Please consult the following Policy for further information about the Ethics Officer and the requirements of the *Ethics Act*: https://www.niu.edu/hrs/resources/policies/ethics_act.shtml

What is Retaliatory Action?

The *Ethics Act* defines **retaliatory action** as a reprimand, discharge, suspension, demotion, denial of promotion or transfer, or change in the terms or conditions of employment of any State employee, which is taken in retaliation for involvement in protected activity. It is not a violation

of the Ethics Act if the employer can demonstrate, by clear and convincing evidence that the same personnel action would have been taken even in the absence of the protected activity.

For purposes of this Policy, a **retaliatory action** is even broader than the legal prohibitions set forth in the Ethics Act. **Retaliatory Action** at Northern Illinois University includes the above definition of retaliatory action in the *Ethics Act* but also includes any other materially adverse action (including substantiated threats of adverse action) that would dissuade a reasonable person from engaging in **protected activity** as defined above.

How Do I Report Emergency Situations?

In the event of an emergency situation requiring an immediate police response, you should contact the Illinois State Police or the county, municipal or campus police agency that can provide the fastest response (for example, by dialing 911). Examples of emergency situations include those that involve the illegal use or possession of a weapon, bodily injury or threat of bodily injury, or other threat to the campus community.

How Do I Report Suspected or Allegedly Illegal or Other Wrongful Conduct Within the University?

As a State employee, it is your duty to report violations of the law or of University rules, regulations, policies, or procedures by another officer, employee or vendor of the State relating to State/University business. The University provides several options for employees to report suspected wrongdoing, including an option independent of the University (Ethics Point). Others are also strongly encouraged to report suspected unlawful or other wrongful conduct. The University provides below multiple channels that may be used to make a report. An Ethics or Whistleblower complaint can be made through any of the following channels:

- **Ethics Point:** Ethics Point is a comprehensive and confidential reporting tool which can receive anonymous complaints and forward them to the University in a manner that preserves anonymity.
- The Office of the Executive Inspector General may be contacted with complaints by mail, via its toll-free Hotline at 866-814-1113, or toll-free via a Telecommunications Device for the Deaf (TDD) at 888-2612734. For additional information about OEIG, what OEIG does, and how to contact OEIG visit its web site at: www.inspectorgeneral.illinois.gov.
- E-mail the Northern Illinois University Ethics Officer at niuethicsofficer@niu.edu
- Call 855-544-6665 and leave message for the Northern Illinois University Ethics Officer.
- Complete the Ethics Complaint Form at <https://niu.edu/ethics-compliance/complaints/ethics.shtml> or contact Human Resource Services.
- Contact the Office of the President at 815-753-1271 or e-mail president@niu.edu
- Contact the Chair of the Board of Trustees, for contact information visit its web site at: www.niu.edu/board/trustees

Anonymous complaints will be accepted but should contain sufficient information to allow for follow-up inquiries and investigation as appropriate.

How Does the University Investigate Complaints?

The nature, scope, and extent of the allegations raised in a particular complaint will determine who will investigate the complaint and what process or procedure will be used to investigate a particular complaint. The University has a variety of options available to investigate complaints. The Department of Police and Public Safety may be called upon to investigate employee conduct that could constitute criminal law violations. The University Human Resources Department is

authorized to investigate complaints involving employee related issues, such as Affirmative Action and Diversity Resource matters, sexual harassment, and bullying, among others. The Ethics Office has specific authority to investigate ethics violations. Similarly, the Office of General Counsel has responsibility to investigate matters pertaining to legal, regulatory, and ethical compliance. Regardless of the type of allegation or complaint raised under this Policy, the University will address the allegation or complaint.

How Does the University Address False Reports?

Individuals who report unlawful or other wrongful conduct in good faith shall be protected under this Policy from **retaliatory action** even if the report is found upon investigation to be mistaken or unsubstantiated. This protection, however, does not cover knowingly false or fabricated reports. It also does not preclude supervisors or administrators from taking action, including disciplinary action, in the usual course of their duties and based upon valid factors, including performance-related factors. Knowingly making false or fabricated reports undermines the University's effort to reduce if not eliminate wrong doing and as such, the University will take necessary action to discipline persons who make such reports.

PROPOSED AMENDMENTS TO THE UNIVERSITY FACILITY NAMING POLICY

Summary: In 2016, the NIU Board of Trustees, university administration and leadership of the NIU Foundation undertook a concerted effort to update the Board *Regulations* related to the naming of physical facilities and establish the groundwork for a more comprehensive policy on the naming of university facilities. In 2019, the Board of Trustees formally approved the University Facility Naming Policy. Since then, that Policy has remained relatively unchanged.

University and NIU Foundation senior administration have recently met to discuss needed material changes to the University Facility Naming Policy to modernize the Policy, clarify roles and authority for naming recommendations and to allow for much-needed advancements in the manner in which naming opportunities are handled. The purpose of this Board Item is to propose amendments to the University Facility Naming Policy for these purposes. The following are high-level descriptions of the reasons for these proposed amendments.

- The University identified language in the Policy related to approvals for naming recommendations that needs updating to be in line with Presidential authority and Board *Regulations*.
- The NIU Foundation is engaged in a new fundraising campaign, and discovered through benchmarking that term limits can create an impediment when related to naming physical buildings. It is proposed to remove naming term limits for buildings.
- Additionally, the NIU Foundation has identified conflicting language related to the B.2 Minimum amounts required in Sections 5.B.2.1 – B.2.3. This information should be cleaned up and clarified.
- As such, the proposed edits focus on eliminating terms related to exterior namings on university buildings. The funding levels and ranges will remain the same for exterior namings on university buildings, as will the requirement for the related 15% maintenance endowment.
- The naming term requirements for all internal spaces will remain unchanged.

Section VI. Physical Facilities, Subsection C. Naming of Facilities of the Board *Regulations* provides:

Subsection C. Naming of Facilities

1. Guidelines

a. Facilities: The Board of Trustees has the exclusive authority to name all facilities at the university. Facilities shall be defined to include buildings, structures, stadia, commons areas and the like, but shall not include rooms or other areas within buildings. Such authority may be delegated as determined by the Board.

b. Standards for the Naming of Campus Facilities: The naming of all Facilities on campus will conform to university standards as outlined in the University Facility Naming Policy. This Policy will fall within the management jurisdiction of the Vice President for Administration and Finance, in close consultation with the Vice President of University Advancement and the Executive Vice President and Provost, or equivalent positions, and will be reviewed on an annual basis. If material changes or exceptions to the policy are necessary, they will be brought to the Board for approval prior to implementation.

c. Rooms and other areas within facilities: The Board shall delegate the authority to develop and recommend naming protocols for internal spaces within Facilities on campus to the Division of University Advancement or equivalent position. The naming of all internal spaces within Facilities on campus shall conform to university standards and naming protocols.

2. Procedures

a. Facilities: The President, after consultation with appropriate members of the university community, shall provide the board with a naming recommendation for any proposed naming for a specified facility, prior to the execution of a contract or the acceptance of a philanthropic gift that may result in a naming decision.

b. Rooms and other areas within facilities: The naming of spaces internal to a building or facility shall be developed by the Vice President for University Advancement, in consultation with the Vice President for Administration and Finance and the Executive Vice President and Provost, or equivalent positions, and recommended for approval to the President. The naming of all internal spaces within Facilities on campus shall conform to university standards and naming protocols.

Per the Board *Regulations*, the following proposed amendments to the University Facility Naming Policy are brought before the Board of Trustees for approval prior to their implementation.

1. Page 1, Section 2:

- a. Add “At the university level, facility naming recommendations shall be developed, reviewed and approved under the authority of the President, with appropriate involvement of senior administration as described herein, before facility naming recommendations are provided by the President to the Board of Trustees for review and approval.”
- b. Edit Subsection A. Scope to read as (additional/changed wording is underlined): “The Board Regulation provides broad authority over all university facilities. Any contract or other agreement associated with the naming of a University facility, to include multi-media licensing agreements and sponsorship contracts, must be developed within the context of the Board’s authority and in accordance with university standards. Such agreements will undergo full advance review by the Executive Vice President and Provost, the Vice President for Administration and Finance and CFO, and the Vice President for Advancement, or equivalent positions, prior to approval by the President at the university level. Once approved at the university level, the President, after consultation with appropriate members of the university community, shall provide the Board with any proposed naming recommendation for a specified facility prior to the execution of a contract, or the acceptance of a philanthropic gift, that may result in a naming decision.”
- c. Edit Subsection B. Internal spaces to read as (additional/changed wording is underlined): “The naming of spaces internal to a building or facility shall be developed and recommended by the Vice President for University Advancement under these protocols established by delegated authority of the Board of Trustees, and approved by the Executive Vice President and Provost and the Vice President for Administration and Finance and CFO or equivalent positions, before submission to the President for final approval.”

2. Page 4, Section B6. Remove “This stipulation does not override time periods listed in Section 5. A.1.”
3. Page 4, Section 5.A.
 - a. Remove “The length of time a Facility shall retain a name shall be based on the donation or funding provided.”
 - b. Add “There shall be no term limit for naming of a University building or facility.”
4. Page 5:
 - a. Section 5.A.1. Remove the “Guaranteed For” Column.
 - b. Section 5.A.2. Remove “A.2. Right of first refusal: A right of first refusal to renew a facility naming agreement shall be extended to coincide with the completion of each gift term to the entity or person currently holding the name. The offer to renew shall be extended no later than one year prior to the expiration of the current naming agreement, and if no renewal is confirmed within 6 months of the expiration, the University will exercise its right to pursue renaming.”
5. Page 5, Section B.1.5. Edit “long term” to “long-term” in this Section.
6. Page 6, Section B.2. Remove “,” at end of sentence, as a typo.
7. Page 6:
 - a. Edit Section B.2.1 to read “New Facilities, Existing Facilities or Addition to Existing Facilities: 51% of the total project, or 51% of the currently assessed valuation of the building, cost plus 15% maintenance endowment.”
 - b. Remove Sections B.2.2., and B.2.3 from Page 6 and renumber these Sections accordingly.
 - c. Remove “private fundraising goal for the project” from Section B.2.4.
 - d. Formatting/spacing issues in the Policy will be cleaned up in the final version, especially with the new language on Page 6, Section C.
8. Page 8, Section B.2.5. Remove “naming duration,” from this Section.
9. Page 8, Section 7 shall be updated to reflect that the Policy was amended with the approval of the Board of Trustees on June 20, 2024, should this Board Item be passed.

A redlined version of the proposed amendments is attached to this Board Item for easy reference.

Recommendation: The University, in conjunction with the NIU Foundation, recommends that the Board of Trustees approve this request to amend the University Facilities Naming Policy per the Board *Regulations*, as outlined in the body of the Board Item described above and represented in the redlined edits in the attached document.

NORTHERN ILLINOIS UNIVERSITY FACILITY NAMING POLICY

1. INTRODUCTION AND PURPOSE

This document outlines protocol regarding the naming of facilities at Northern Illinois University (NIU). Naming opportunities are an integral part of efforts to secure major philanthropic gifts for the advancement of the University's mission, but also an opportunity to recognize and honor eminent persons for outstanding service or contribution to NIU. To effectively guide this process, it is necessary to delineate standards to be followed, factors to be considered, and criteria to be employed prior to granting approval for a formal naming associated with any University facility.

The following standards ensure that the naming of University facilities involves careful deliberation and attention to the appropriateness of the name.

2. AUTHORITY

Sole and final approval for the naming of campus facilities is the responsibility of the Board of Trustees of Northern Illinois University as stated in *Section VI. Physical Facilities, Subsection C. Naming of Facilities*, of the Regulations of the Board of Trustees. The Board of Trustees of NIU shall follow transparent, consistent, and accountable processes in the naming of facilities under its jurisdiction. At the university level, facility naming recommendations shall be developed, reviewed and approved under the authority of the President, with appropriate involvement of senior administration as described herein, before facility naming recommendations are provided by the President to the Board of Trustees for review and approval. In considering the recommendation from the Executive Vice President and Provost, Vice President for Administration and Finance and CFO, and Vice President of University Advancement, or equivalent positions, to name facilities, the President and the Board of Trustees shall ensure compatibility with the vision and mission of NIU.

- A. Scope: The Board Regulation provides broad authority over all university facilities. Any contract or other agreement associated with the naming of a University facility, to include multi-media licensing agreements and sponsorship contracts, must be developed within the context of the Board's authority and in accordance with university standards. Such agreements will undergo full advance review by the Executive Vice President and Provost, the Vice President for Administration and Finance and CFO, and the Vice President for Advancement, or equivalent positions, prior to approval by the President at the university level. Once approved at the university level, the President, after consultation with appropriate members of the university community, shall ~~inform~~ provide the Board ~~of~~ with any proposed naming recommendation for a specified facility prior to the execution of a contract, or the acceptance of a philanthropic gift, that may result in a naming decision.
- B. Internal spaces: The naming of spaces internal to a building or facility shall be developed and recommended by the Vice President for University Advancement under these protocols established by delegated authority of the Board of Trustees, and approved by the Executive Vice President and Provost and the Vice President for Administration and Finance and CFO or equivalent positions, before submission to the President for final approval.

3. DEFINITIONS

A. Physical Facilities as defined by the Northern Illinois University Board of Trustees:

- Buildings
- Structures
- Stadia
- Commons
- Streets
- Drives

- Landscaped Areas
 - Or any other property under the administrative control of the university
- B. Interior Spaces and Other Facilities include:
- Rooms
 - Atria
 - Lobbies
 - Food Service Locations
 - Wings
 - Floors
 - Lecture Halls
 - Laboratories
 - Common Areas
 - And all other areas located within buildings or other facilities
- C. Other definitions:
- Functional:—Designed for, or suited to, a particular operation or use.
 - Honorary:—Conferring or implying honor or respect; generally defined as recognizing outstanding individuals distinguished in character or attainments, or by success in any walk of life.
 - Philanthropic:—The act of philanthropy; generally defined as gifts to the University which have real or in-kind monetary value.
 - Auxiliary Facilities:—Those facilities financed through public/private partnerships (e.g. borrowed funds).
 - Original Facilities:—Those facilities considered either technically original to the NIU campus, developed earlier in its history, and/or currently bearing a longstanding name (e.g. Holmes Student Center).
 - Total project cost: The budgeted cost of project at the time of the gift.

4. GUIDELINES

A. Naming: Facilities should be named in such a way as to reflect the general activities for which the building is utilized. A building name may include a designation such as auditorium, center, gymnasium, hall, institute, school, laboratory, etc.

A.1. Honorary: Those individual(s) for whom Facilities are named or in whose honor a designation is bestowed must exemplify the values of the NIU. Additionally, the integrity of the honoree shall be beyond reproach. Facilities may be named for:

- Notable members of the university faculty and staff who have emeritus status or have been retired for five (5) years or more.
- Elected persons of the state, nation or country that are no longer living.
- Elected persons of the state, nation or country that have been retired for ten (10) years or more.
- Faculty, staff, alumni or friends of the University community who have made extraordinary contributions to the University or society. Honorees shall have achieved distinction in one or more ways:
 - Served the University in an academic capacity, achieved the highest scholarly distinction and earned a national or international reputation.
 - Served the University in an important administrative capacity, rendered distinguished service, and provided exceptional contributions to the University.
 - Contributed in truly exceptional ways to the welfare of the institution, state, or nation or achieved such unique distinction as to warrant recognition.

A.2. Philanthropic: For or by individual or corporate donors who provide significant gifts in support of Facilities and programs at the University.

A.3. Non-philanthropic sources: If the University/Foundation decides to fund a building project with funds other than donations, and/or a business plan with alternative/additional funding, consideration may be given as determined by the Executive Vice President and Provost and the Vice President for Administration and Finance and CFO, and, in consultation with the Vice President for University Advancement.

A.4. Original facilities and longstanding honorary designations: It shall be the general practice to maintain historic and honorary names either attached to longstanding campus facilities (e.g., Holmes Student Center), or where an honorary name has been attached to a facility for over 50 years. Renaming of such facilities will be considered in the context of emerging philanthropic or new honorary naming opportunity if the terms conform to university standards, have been reviewed and recommended by the Advisory Committee, and approved by the Board of Trustees. Considerations with regard to appropriate perpetuation of the prior name will be given, and may include, for instance, a plaque in or adjacent to a newly-named original building.

B. Signage: All new building signage shall conform to the University Signage Standards.

B.1. When an entire Facility is named for an individual, the actual name may appear on the façade of the structure, University signage, and campus maps as appropriate.

B.2. Space within named buildings (e.g., rooms, lounges, laboratories, performance spaces, lecture halls, etc.) may be further designated by plaques or tablets installed in buildings, but in complement and coordination with other recognition and designations within those buildings. All such identifications must be approved by the Vice President for University Advancement and in consultation with the Vice President for Administration and Finance and CFO.

B.3. Interior Signage minimum funding levels are determined by the Division of University Advancement in accordance with the NIU Foundation's Gift Acceptance Policy and Donor Recognition Guidelines, and approved by the Executive Vice President and Provost and the Vice President of Administration and Finance and CFO, or equivalent positions.

B.4. Exterior Signage minimum funding levels:

Signage Type	\$500K to \$1M	\$1M to \$10M	\$10M +
Standard university exterior signage	x	x	x
Prefinished anodized aluminum lettering not to exceed 1' in height on exterior of the building (academic buildings, e.g.)			x
Stylized signage on exterior of building as appropriate to building style and design (athletic facilities, e.g.)			x

- B.5. Total cost for all signage shall be determined on a project basis and in accordance with the NIU Foundation's Gift Acceptance Policy and Donor Recognition Guidelines.
- B.6. When a named Facility has reached the end of its useful life and will be razed or the unit moves into a different Facility, the donors will be appropriately recognized in a related Facility. ~~This stipulation does not override time periods listed in Section 5. A.1.~~

C. Changes or Removal of a Name:

- C.1. To change the name of a Facility, the initiator must provide a proposal that includes a justification for the name change. If the change involves naming the Facility in honor of a person, the proposal must include a review of the accomplishments and/or contribution of the individual.
- C.2. In certain circumstances, the University reserves the right, on reasonable grounds, to revoke and terminate its obligations regarding a naming, with no financial responsibility for returning any received contributions to the benefactor. These actions, and the circumstances that prompt them, may apply to an approved naming that has not yet been acted upon or to a conferred naming. Circumstances that may lead to such a consideration include, but are not limited to:
- Comprehensive renovation of, or addition to, a particular space.
 - Failure by the honored person, family or organization to fulfill agreed upon obligations.
 - The demolition of a facility or the discontinuation of an activity.
 - Actions by an honored person, family or organization that is inconsistent with the values and mission of the university.
 - If the benefactor's or honoree's reputation changes substantially so that the continued use of that name may compromise the public trust, dishonor the University's standards, or otherwise be contrary to the best interests of the University, the naming may be revoked.
 - In the instances where a decision has been made to revoke a naming in accordance with this policy, prior to final approval, the University shall provide the benefactor, or a representative of the benefactor, the opportunity to voluntarily relinquish the name from the University facility or academic unit, as the case may be.
 - However, caution must be taken when, with the passage of time, the standards and achievements deemed to justify a naming action may change and observers of a later age may deem those who conferred a naming honor at an earlier age to have erred. Namings should not be altered simply because later observers would have made different judgements.

This should be done judiciously, and involve the approval of the Vice President for Administration and Finance and CFO or equivalent position, the General Counsel, the University President, and the Board of Trustees.

- D. Exceptions: There may be instances where exceptions will be granted to the guidelines above. These will be reviewed and endorsed by the Vice President for Administration and Finance and CFO, or equivalent position, in consultation with appropriate additional stakeholders, and submitted to the Board of Trustees for consideration.

5. FUNDING CRITERIA

- A. Limited Terms: ~~The length of time a Facility shall retain a name shall be based on the donation or funding provided. There shall be no term limit for the naming of a University building or facility.~~

A.1. Facility Funding Categories

Total Project Cost	Maintenance Endowment Level (15%)	Gift Level	Guaranteed For
\$1M to \$5M	\$150,000 - \$750,000	\$1,150,000 - \$5,750,000	10 Years
\$5M to \$10M	\$750,000 - \$1,500,000	\$5,750,000 - \$11,500,000	15 Years
\$10M to \$20M	\$1,500,000 - \$3,000,000	\$11,500,000 - \$23,000,000	20 Years
\$20M to \$30M	\$3,000,000 - \$4,500,000	\$23,000,000 - \$34,500,000	30 Years
\$30M to \$40M	\$4,500,000 - \$6,000,000	\$34,500,000 - \$46,000,000	40 Years
\$40M +	\$6,000,000 +	\$46,000,000 +	50 Years

~~A.2. Right of first refusal: A right of first refusal to renew a facility naming agreement shall be extended to coincide with the completion of each gift term to the entity or person currently holding the name. The offer to renew shall be extended no later than one year prior to the expiration of the current naming agreement, and if no renewal is confirmed within 6 months of the expiration, the University will exercise its right to pursue renaming.~~

B. Facility Naming Criteria: When a facility is to be named in consideration of a financial contribution, the gift shall be received, or its future receipt shall be assured, through the appropriate signed gift agreement, before a naming action shall be taken as follows:

B.1. Gift Considerations and Status at the Time of Naming:

- B.1.1. Commitments to name a building, structure or exterior space must be related to contributions in cash, cash equivalents, marketable securities, or enforceable pledges to be paid in no longer than ten years.
- B.1.2. Irrevocable planned gifts may generate current naming of facilities if current cash flow considerations are not an issue from a project financing perspective. Such gifts will be credited at their face value with particular emphasis being given to the predictability of the long-term value of the irrevocable deferred gift.
- B.1.3. Gift agreements for the naming of buildings, structures, or interior or exterior spaces (before construction completion) should allow for unrestricted use of the donated funds.
- B.1.4. Pledges: In the case of facilities, gifts must be current use gifts and may include a maximum ten year pledge. If the pledged gift is to name new construction, renovation or other project with cash flow considerations, the timing of the payments should be such that sufficient dollars are available to cover project costs.
- B.1.5. Combinations of revocable planned gifts and cash may occasionally generate current naming opportunities under the right circumstances. Each combination must be explained fully to the Executive Vice President and Provost and the Vice President for Administration and Finance and CFO, or equivalent positions, and a case made for the appropriateness of the naming given the specifics of a particular gift. Emphasis will be given to cash flow requirements and the predictability of the ~~long-long~~-term value of the revocable deferred gift component, and the predictability of its receipt.

B.2. Minimums: The minimum contribution giving rise to the opportunity of naming an entire building, structure, or exterior space for new construction or renovations requires at least 51% of the total project cost, plus a 15% maintenance endowment. The naming could require more depending on the prominence/exposure and the ability of the university to acquire additional funding. New construction or renovation cannot commence until sources for 100% of the total project cost, including a 15% maintenance endowment, are identified and confirmed by the Executive Vice President and Provost and the Vice President for Administration and Finance and CFO, or equivalent positions.

- B.2.1. New Facilities, ~~Existing Facilities, or Addition to Existing Facilities~~: 51% of the ~~total project, or 51% of the currently assessed valuation of the building, cost plus 15% maintenance endowment, private fundraising goal for the project~~
- B.2.2. ~~Existing Facilities~~: 51% of the ~~private fundraising goal for the project, if construction is to be done; or 51% of the currently assessed valuation of the building.~~
- B.2.3. ~~Addition to Existing Facilities~~: 51% of the ~~private fundraising goal for the project~~
- ~~B.2.4.~~B.2.2. Renovations: 100% off the total project cost if project is less than \$5,000,000; if project is greater than \$5,000,000, 51% of the ~~private fundraising goal of the project.~~
- ~~B.2.5.~~B.2.3. Outdoor Areas (i.e. courtyards, gardens, streets, fountains, benches, etc.): 100% of the total cost.

C. Programmatic Centers and other naming opportunities (Academic and Administrative):

- C.1. Colleges, Schools, Institutes, Departments, Academic or Administrative Units: The specific level for each unit will be determined by the Vice President for University Advancement, in accordance with the NIU Foundation's Gift Acceptance Policy and Guidelines for Donor Recognition, and approved by the Executive Vice President and Provost and the Vice President of Administration and Finance and CFO, or equivalent positions.
- C.2. A minimum of a \$5,000,000 endowment gift is needed to name a programmatic center.
- C.3. An alternate funding plan may be implemented with the approval of the Executive Vice President and Provost and the Vice President for Administration and Finance and CFO and in consultation with the Vice President for University Advancement, or equivalent positions. The Vice President for Administration and Finance and CFO, or equivalent position, retains the flexibility to negotiate alternative financial arrangements where the best interest of the University is served.

6. PROCEDURES

Northern Illinois University Board of Trustee Regulations: The President, after consultation with members of the university community, shall either make a confidential report to the Chairman of the Facilities, Finance and Operating Committee of the Board of Trustees (if donor confidentiality is an issue), or members of the Board in support of any proposed name for a specific Facility.

A. Review Process

- A.1. Since naming facilities has a long-term impact on the University, the approval process is designed to ensure such action is in the best interest of the University. The Vice President for University Advancement, or equivalent position, shall conduct an initial review of all naming proposals submitted for consideration. The Vice President for University Advancement, or equivalent position, shall consult and receive general approval from the following university personnel, or equivalent positions, before making a recommendation to the President:
- Executive Vice President and Provost
 - Vice President for Administration and Finance and CFO
 - Senior administrator(s) from requesting units
 - NIU Foundation Board (when funded by private donations)
 - Advisory Committee (special circumstances)
- ~~A.2.~~A.2. Advisory Committee: An Advisory Committee, inclusive of representatives of the faculty, staff, the student body, and other such stakeholders as deemed appropriate, shall be appointed by the Executive Vice President and Provost, the Vice President for Administration and Finance and CFO, and the Vice President for University Advancement, or equivalent positions. The Committee shall be called upon in special circumstances for the purpose of review and recommendation in instances of:

- Honorary naming
- Proposed renaming of an Original Facility
- Other special circumstances, as deemed appropriate or beneficial

The Committee's input and decision-making authority shall be limited where ethical principles, especially donor confidentiality, apply.

B. Submission Process

B.1. Honorary Naming: academic or administrative units that wish to honor an individual/corporation for outstanding contributions to the University or to the spirit of community within the university or the larger community, shall submit a Naming Request Proposal to the Vice President for Administration and Finance and CFO, or equivalent position, who shall consult with the Vice President for University Advancement and the Executive Vice President and Provost, or equivalent positions.

B.1.1. The Naming Request Proposal developed must include:

- Letter of request for naming from dean, director or University administrator, indicating faculty support and detailing the appropriateness of the honorary naming;
- Supplemental information of the individual being honored with the naming of the facility of facility component (see Naming Request Proposal Form)

B.1.2. Review and recommendation: Upon review and endorsement, the Executive Vice President and Provost, the Vice President for Administration and Finance and CFO, and the Vice President for University Advancement, or equivalent positions, will then present the Naming Request Proposal in confidence to the President.

B.1.3. Review and Approval by Board of Trustees: Upon completion of review of the Naming Request Proposal, the President will present the internally vetted and recommended honorary naming proposal to the Board of Trustees for approval.

B.2. Donor Naming

B.2.1. Consultation:

- When facilities have the potential to attract gifts, the appropriate development officer should first consult with the Chief Development Officer and the Vice President for University Advancement, or equivalent positions, regarding initial steps to be taken in securing such gifts.
- Prior to discussions with a donor regarding a naming opportunity, the Vice President for University Advancement will consult with the Executive Vice President and Provost, and the Vice President for Administration and Finance and CFO, or equivalent positions, and the President, as required.

B.2.2. Naming Request Proposal developed: In discussing facility naming opportunities, the development officer will apprise the prospective donor regarding the review and approval process, and complete a Naming Request Proposal.

B.2.3. Division Review: The Vice President for University Advancement, or equivalent position, shall review all such proposals and take appropriate action as outlined in the Facility Naming Policy.

B.2.4. Donor Dialogue: When speaking with potential donors, all architectural renderings, construction models, or other plans should be identified as conceptual and not literal depictions of what the facility will ultimately be. During discussions, it will be emphasized that donors understand they shall not control the details of construction, furnishing, etc.

B.2.5. Gift Agreement: Upon appropriate review, the donor, gift officer, and the Vice President for University Advancement, or equivalent position, will complete a gift agreement. Naming considerations to be taken into account in the agreement include:

- The clear and expressed term of the naming, including:
 - The life expectancy of the building, equipment, rooms, ~~naming duration~~, etc.
 - Conditions under which the University might exercise authority to rename.
 - The binding nature of pledge to a facility building project, and the specific and timely fulfillment of the pledge that will be relied upon and may be used as collateral for project financing.
- Public recognition of the gift will proceed in accordance with this policy, the Gift Acceptance Policy and Donor Recognition Guidelines of the NIU Foundation.

B.2.6. Review and Approval by the Board of Trustees: Upon completion of review, the Naming Request Proposal and Gift Agreement, the President will present the internally vetted and recommended facility naming proposal to the Board of Trustees for approval.

7. EFFECTIVE DATE AND APPLICABILITY

This policy shall be effective May 9, 2019, which is the official date upon which it was approved by the Northern Illinois University Board of Trustees. The policy is applicable to all future naming instances from this date forward until such time as it is superseded by new policy, and is not applicable to any currently named facilities that were negotiated through a gift or naming agreement prior to this date with the exception of a future occurrence or circumstance as outlined in Section 4.C. above.

Special Note: This policy was amended per approval of the Board of Trustees of Northern Illinois University at its Regular Meeting of June 20, 2024.

COLLEGE OF HEALTH AND HUMAN SCIENCES OVERVIEW

Kelly Fiala, Dean of the College of Health and Human Sciences will give an update on the College of Health and Human Sciences.

**FISCAL YEAR 2025 ELECTION OF BOARD OFFICERS AND CIVIL
SERVICES MERIT BOARD REPRESENTATIVE**

The Board of Trustees will hold its election of officers for Fiscal Year 2025. The results will be tallied and announced by Board Parliamentarian and General Counsel Bryan Perry.

**RESOLUTION HONORING TRUSTEE TIM MOORE FOR
DISTINGUISHED SERVICE TO THE NORTHERN ILLINOIS
UNIVERSITY BOARD OF TRUSTEES**

WHEREAS, Tim Moore has faithfully served as a student member of the Northern Illinois University Board of Trustees from July 1, 2022, through June 30, 2024, having been elected by the NIU student body to two consecutive terms as the Student Trustee; and

WHEREAS, prior to his appointment as Student Trustee, Tim Moore served in leadership roles representing students, including as a Senator and Environmental Affairs Committee Chair in the NIU Student Government Association; and, in those roles, he applied his commitment to improving student life, promoting the work of student organizations, and enacting sustainability solutions on campus; and

WHEREAS, as a member of the Board of Trustees, Tim Moore contributed valuable insight and information regarding the student perspective, serving as a member of the Academic Affairs, Student Affairs and Personnel Committee; the Finance, Audit, Compliance, Facilities and Operations Committee; and the Research and Innovation, Legal and Legislative Affairs Committee; and

WHEREAS, Tim Moore is also a proud member Phi Sigma Kappa Fraternity, serving that organization as its President and previously as its Secretary and Vice President, and participates in efforts to advance Greek life at NIU; and

WHEREAS, during his time as Student Trustee, Tim Moore pursued a Bachelor of Science degree in Meteorology and participated in the NIU chapter of the American Meteorological Society, the Triple “T” Association, and the Indian Student Association; and

WHEREAS, we, as his colleagues on the Board of Trustees, want to express our appreciation for Tim’s service to the NIU students and community and wish him continued success in his undergraduate studies and professional career.

NOW, THEREFORE BE IT RESOLVED that the Board of Trustees of Northern Illinois University in formal meeting herein assembled extends its grateful appreciation to Tim Moore for his distinguished service to Northern Illinois University.

BE IT FURTHER RESOLVED that this Resolution be presented to Tim Moore and a copy of this document be placed in the official files of the Board of Trustees as part of the permanent record of the University and the great State of Illinois and as a lasting tribute to the accomplishments of Tim Moore.

Adopted in a meeting assembled this 20th day of June, 2024.

**Board of Trustees of
Northern Illinois University**

Montel M. Gayles
Board Chair

Dennis L. Barsema
Board Secretary

